REQUEST FOR QUALIFICATIONS
RFQ 003-2019-DR-STT/STX

ENVIRONMENTAL REVIEW, ASSESSMENTS, AND TESTING SERVICES

Issue date:
February 8, 2019

Submittal deadline:
March 7, 2019

Contact person:
Nicole Roberts
CDBG-DR Procurement Officer
nroberts@vihfa.gov
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1.0 INTRODUCTION
The Virgin Islands Housing Finance Authority (“VIHFA”) is soliciting proposals from qualified licensed firms or individuals (“Respondents”) to provide Environmental Review, Assessments, and Testing Services in connection with its administration of U.S. Department of Housing and Urban Development (“HUD”) Community Development Block Grant-Disaster Recovery (“CDBG-DR”) funds. VIHFA is the Territory’s grantee to HUD responsible for administering CDBG-DR funds. VIHFA’s mission is to increase access to housing and community development opportunities by developing innovative programs and projects. The Authority has offices in both St. Thomas and St. Croix and services the entire United States Virgin Islands (U.S. Virgin Islands or “the Territory”).

1.1 CONTEXT
Hurricanes Irma and Maria had a devastating impact on the United States Virgin Islands (U.S. Virgin Islands or “the Territory”). The two back-to-back Category 5 storms in September 2017 caused significant damage and destruction to the islands’ housing stock. The entire population—over 100,000 residents—were impacted by the devastation brought on by the storms, with winds of over 185 miles per hour and up to 20 inches of rain in some areas. Irma crossed the islands as a windstorm tearing the roofs off buildings in her path; Maria came behind and caused water damage to all of the unprotected structures in the St. Thomas and St. John district, while inflicting severe damage on St. Croix.

Based on the FEMA IA data as of August 10, 2018, the Territory estimates that approximately 23,301 households sustained some form of damage to their primary residences from either Hurricane Irma or Maria, or both, representing 54% of the housing stock on the islands. Of the impacted households, based on The U.S. Department of Housing and Urban Development’s (HUD) methodology, 5,340 suffered Major or Severe damage; approximately 2,510 are the owners’ primary residences and 2,830 are renter-occupied homes. The remaining 12,394 owner-occupied residences and 5,567 rental units sustained minor damage.

The United States Virgin Islands is the recipient of $1.86 billion of Community Development Block Grant – Disaster Recovery (CDBG-DR) funding from HUD to assist in disaster recovery and rebuilding efforts resulting from Hurricanes Irma and Maria. The Territory’s approved CDBG-DR Action Plan and Amendment 1 (https://www.vihfa.gov/disaster-recovery/action-plan) detail how the U.S. Virgin Islands plans to spend its first and second tranches of $1,021,901,000 in grant funds, and Respondents to this RFQ should thoroughly review it.
As the administering entity of the CDBG-DR funds, VIHFA has developed a portfolio of programs that will need various Environmental Review, Assessments, and Testing Services across its programs.

1.2 GOALS AND OBJECTIVES
The objectives include, but are not limited to, conducting HUD-mandated Environmental Reviews per 24 CFR Part 58, for the VIHFA’s CDBG-DR Program in a timely, efficient and compliant manner so that recovery funding can flow in a timely manner to recipients in need of recovery assistance.

2.0 PROPOSAL DESCRIPTION
The Environmental Review, Assessments, and Testing Services to be procured through this RFQ will support the implementation and administration of a broad range of programs for which CDBG-DR funds will be used including, but not limited to, housing grant programs, infrastructure and economic development projects performed by the territory, agencies, and other organizations that will help administer funds.

2.1 SCOPE OF WORK
The scope of services identified below is intended to ensure that Environmental Review, Assessments, and Testing Services are available to aid VIHFA in the analysis of potential environmental impacts presented by various CDBG-DR programs and projects, consult with regulators to implement the regulations set forth in 24 CFR Part 58 HUD Environmental Reviews, and to assist in the preparation of various reports, forms and studies as identified in the four tasks outlined below. The scope of Environmental Review, Assessments, and Testing Services to be provided by the Respondent(s) are summarized in the following four Tasks.

VIHFA intends on creating a pool of contractors by Task to support the requirements outlined in this RFQ. For assignment of work, VIHFA intends on conducting mini-bid solicitations amongst the selected pool of contractors and may seek fixed unit prices, lump sum prices or other pricing structures for specific types of work/specific projects. VIHFA intends to contract for services based on the results of the mini-bid solicitations. VIHFA reserves the right to deviate from this approach if in the best interest of the Territory.

Respondents may submit Proposals for consideration to any individual Task or any combination of Tasks or all four (4) Tasks identified below. If proposing on a Task, Respondent must propose on all subtasks within the Task; Respondent may not propose on select subtasks of a given Task, where there are subtasks.
2.2 TASKS

2.2.1 Task 1 – Environmental Review
The Respondent will conduct environmental reviews including preparation of required checklists, documentation, correspondence with cognizant agencies and applicable public notices on an as-needed basis in accordance with the required level of clearance outlined in 24 CFR Part 58. Environmental reviews must, at a minimum, comply with the requirements of the Disaster Relief Appropriations Act of 2013, the National Environmental Policy Act (NEPA; including implementing regulations at 40 CFR Part 1500 and 24 CFR Part 58) along with any local environmental review requirements. For each project, the Respondent will create a full and compliant Environmental Review Record (ERR) meeting the above legal requirements and documenting VIHFA’s review and compliance with the related federal authorities listed in 24 CFR §§ 58.5 and 58.6.

For each project, the Respondent will determine the required level of environmental review (e.g. Environmental Impact Statement, Environmental Assessment, Categorically Excluded not Subject to 58.5 or Categorically Excluded Subject to 58.5, Tier 1 assessment, Tier 2 assessment, etc.) and make a recommendation to VIHFA as to the appropriate level of review. At any time that the Respondent has evidence that a further level of environmental review is needed or that additional assessments or studies are required, the Respondent will so advise VIHFA in writing as expeditiously as possible. The Respondent will be responsible for coordinating, with VIHFA and the oversight/regulatory agencies to ensure that all documentation is obtained necessary for acquiring the necessary permits.

This task requires the Respondent to have the capability to evaluate based upon the level of the review required and applicable laws, authorities and requirements, the potential environmental impacts of proposed activities areas as outlined in NEPA and 24 CFR Part 58 and further explained on the HUD Exchange at https://www.hudexchange.info/programs/environmental-review/

The following activities are included in this task, as may be required by VIHFA on a project by-project basis:

i. Maintain contact list of governmental and non-governmental stakeholders.

ii. Create required legal notices and provide to VIHFA for publication.

iii. Assist VIHFA in summarizing comments and preparing responses to comments as necessary.

iv. Create and coordinate summary reports to update VIHFA on each project’s status and compliance on environmental review requirements.

v. Initiate and support meetings with VIHFA and other project partners such as other regulatory agencies that may be required to overcome barriers to completing environmental reviews.
vi. Perform any other duty that relates to 24 CFR Part 58 HUD Environmental Reviews and other environmental requirements.

2.2.2 Task 2– Asbestos Testing
The specific services of the Respondent under this task include the performance of inspections and risk assessments for asbestos in accordance with federal and territory regulatory standards.

Asbestos testing services consist of the survey, identification and assessment of the condition of asbestos and asbestos containing material (“ACM”), the recording and reporting thereof, and the collection of bulk samples of asbestos or suspected ACM for laboratory analysis. In providing these services, the Respondent is required to adhere to any regulations promulgated by the Department of Labor (“DOL”). The Respondent is expected to be fully familiar with these regulations, as well as related federal regulations such as 40 CFR Part 763 (Asbestos Containing Material in Schools), 40 CFR Part 1926.1101 (Construction) and 40 CFR Part 61 (Subpart M) (Hazardous Air Emissions), as may be applicable.

Testing for ACM requires the Respondent to deploy a certified asbestos inspector. The inspector will perform asbestos surveys consisting of both visual and written inspection of the location, quantity, friability, condition of suspected ACM and the collection of samples from suspected ACM utilizing sampling methods found in 40 CFR 763. The Respondent will take photographs deemed necessary by the inspector to document the location and condition of suspect ACM. The Respondent will not be responsible for repair or finishing activities resulting from survey and testing activities. Suspected ACM that cannot be sampled due to site conditions will be presumed to contain asbestos. Presumed ACM will then be sampled prior to construction activities once the hazard has been abated. If testing results indicate suspected material is ACM, the material will be treated accordingly. If ACM is to be disturbed in connection with VIHFA-funded activities, it must be conducted under the supervision of a DOL certified contractor pursuant to applicable Federal, and Territory regulations.

2.2.3 Task 3– Lead Testing
The specific services of the Respondent under this task include the performance of inspections and risk assessments for lead-based paint hazards in accordance with federal and territory regulatory standards.

Lead-based paint testing services consist of a surface-by-surface investigation to determine the presence of lead-based paint, identification and assessment of the condition of lead-based paint (LBP), the recording and reporting thereof, and the collection of (dust and soil) samples for laboratory analysis. In providing these services, the Respondent is required to adhere to any regulations promulgated by the Department of Labor (“DOL”). The Respondent is expected to be fully familiar with these regulations, as well as related federal regulations such as 24 CFR Part 35 (Lead-Based Paint Poisoning Prevention in Certain Residential Structures), and 40 CFR Part 1926.62 (Construction), as may be applicable.
Testing for LBP requires the Respondent to deploy a certified lead-based paint inspector/risk assessor. The inspector/risk assessor will perform a risk assessment consisting of (1) an on-site investigation to determine the existence, nature, severity, source and location of lead-based paint hazards, and (2) the provision of a report by the individual or the firm conducting the risk assessment, explaining the results of the investigation and options for reducing lead-based paint hazards, and the collection of (dust and soil) samples utilizing sampling methods found in 24 CFR Part 35, Subpart R. The Respondent will take photographs deemed necessary by the inspector to document the location and condition of suspect LBP. The Respondent will not be responsible for repair or finishing activities resulting from survey and testing activities. Suspected LBP that cannot be sampled due to site conditions will be presumed to contain lead. Presumed LBP will then be sampled prior to construction activities once the hazard has been abated. If testing results indicate suspected material is LBP, the material will be treated accordingly. If LBP is to be disturbed in connection with VIHFA-funded activities, it must be conducted by personnel certified to perform such activities as abatement supervision, or renovation, in accordance with 40 CFR part 745, subpart Q, or by the EPA, in accordance with 40 CFR part 745, subparts E or L, pursuant to applicable Federal, and Territory regulations.

2.2.4 Task 4 – Historic and Archaeological Studies
The specific services of the Respondent required under this task include, but are not limited to, the completion of historic and archaeological surveys.

Services under this subtask must be performed by an individual who meets the Secretary of Interior’s Professional Qualification Standards. Such services include, but are not limited to, the following:

i. Perform historic and archaeological surveys necessary to assure VIHFA’s compliance with Sections 106 and 110(k) of the National Historic Policy Act and implementing regulations at 36 CFR Part 800.

ii. Assist with development of and/or revision to Programmatic Agreements as needed.

2.2.5 Commencement of Services
Selected Respondents must be prepared to commence these services within five (5) days of the issuance of a Task order, at the direction of VIHFA CDBG-DR Director.

2.3 NUMBER OF AWARDS
The Respondent recognizes that, at the sole discretion of VIHFA and based upon the breadth and experience of respondents to this RFQ, VIHFA intends on creating a pool of contractors by Task to support the requirements outlined in this RFQ. VIHFA then intends on conducting mini-bid solicitations for specific work. Nothing in this paragraph shall be construed in derogation of VIHFA’s right, in its sole discretion, to cancel this RFQ.
3.0 REQUIRED MINIMUM QUALIFICATIONS OF RESPONDENT

The following subsections are required minimum qualifications.

i. Respondents that are corporations, partnerships, or any other legal entity, domestic or foreign, shall be properly registered to do business in the Territory at the time of the submission of their Proposal to this RFQ. Such Respondents shall attach its license to do business or copy of its application for a license.

ii. Neither Respondent nor any person or entity associated or partnering with Respondent has been the subject of any adverse findings that may prevent VIHFA from selecting Respondent. Such adverse findings may include, but are not limited to, the following:

   a) Negative findings from the Inspector General, a Federal Inspector General, or from the U.S. Government Accountability Office, or from an Inspector General in another State
   b) Pending or unresolved legal action from the U.S. Attorney General or from an attorney general in another State or Territory
   c) Pending litigation with the USVI, any other State or Territory
   d) Arson conviction or pending case
   e) Harassment conviction or pending case
   f) Local, State, Federal or private mortgage arrears, default, or foreclosure proceedings
   g) In rem foreclosure
   h) Sale of tax lien or substantial tax arrears
   i) Fair Housing violations or current litigation
   j) Defaults under any Federal, Territory, State or locally-sponsored program
   k) A record of substantial building code violations or litigation against properties owned and/or managed by Respondent or by any entity or individual that comprises Respondent
   l) Past or pending voluntary or involuntary bankruptcy proceeding
   m) Conviction for fraud, bribery, or grand larceny
   n) Listing on the Federal or State excluded parties lists

iii. Respondent has adequate financial resources to perform the contract, or the ability to obtain them. Financial records for the past two (2) years must be included in the Proposal.

iv. Respondent can comply with the required or proposed delivery or performance schedule, taking into consideration all existing commercial and governmental business commitments.
v. Respondent has a satisfactory performance record.

vi. Respondent has a satisfactory record of integrity and business ethics.

vii. Respondent has the necessary organization, experience, accounting and operational controls, and technical skills, or the ability to obtain them.


ix. Respondent has established prior experience in successfully performing the scope of services requested specifically experience conducting environmental reviews in accordance with NEPA and 24 CFR Part 58; expertise and experience in performing the listed inspections and testing for asbestos and lead based paint; and experience and expertise in negotiating programmatic agreements and conducting historic and architectural reviews.

x. Respondent and its employees hold and maintain any and all territorial, federal, state, and local licenses or certifications as required to perform the services requested.

xi. Respondent is otherwise qualified and eligible to receive an award under applicable laws and regulations.

3.1 REQUIREMENT OF LEGAL ENTITIES

Respondents that are corporations, partnerships, or any other legal entity, domestic or foreign, shall be properly registered to do business in the area in which they are incorporated at the time of the submission of their responses to this RFQ. Such respondents shall attach a certificate of good standing from the Secretary of State in the area in which they are incorporated to their proposals. Upon contract execution, the successful Respondent will show evidence of its applications to obtain any required licenses or certificates required to do business in the USVI.

4.0 TERM OF ENGAGEMENT

VIHFA intends to create a pool of contractors by Task for the Environmental Review, Assessments, and Testing Services as a result of this RFQ. VIHFA then intends to contract for specific services based on mini-bid solicitations that is intended to be conducted from the pool of selected contractors. Details on specific contract terms will be provided in the mini-bid solicitations. VIHFA reserves the right to modify and/or terminate any contract if the successful organization fails to perform in a manner consistent with the terms of the contract.
5.0 USE OF SUBCONTRACTORS

VIHFA shall have a single prime Contractor as the result of any contract negotiation, and that prime Contractor shall be responsible for all deliverables specified in the RFQ and proposal. This general requirement notwithstanding, Respondents may enter into subcontractor arrangements, however, shall acknowledge in their proposals total responsibility for the entire contract.

If the proposer intends to subcontract for portions of the work, the Proposer shall identify in its proposal any subcontractor relationships and include specific designations of the tasks to be performed by the subcontractor. The prime Contractor shall be the single point of contact for all subcontract work. Every subcontract shall incorporate and follow the terms of the contract between the prime Contractor and VIHFA.

Unless provided for in the contract with VIHFA, the prime Contractor shall not contract with any other party for any of the services herein contracted without the express prior written approval of VIHFA.

The prime Contractor shall be responsible for fulfillment of all terms of contract, timing, and payments to subcontractors regardless of funding provided by VIHFA.

The prime Contractor should include in the proposal an executed statement from each subcontractor affirming the following: “I have read and understand the RFQ and final version of the proposal submitted by (Proposer).”

6.0 REQUESTS FOR PROPOSALS SCHEDULE

The following deadlines have been set by VIHFA:

<table>
<thead>
<tr>
<th>RFQ SCHEDULE</th>
<th>DATES and TIMES</th>
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</thead>
<tbody>
<tr>
<td>RFQ release date</td>
<td>February 8, 2019</td>
</tr>
<tr>
<td>Deadline for Questions</td>
<td>February 20, 2019</td>
</tr>
<tr>
<td>Question Responses Published</td>
<td>February 27, 2019</td>
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<tr>
<td>Proposal Submission Deadline</td>
<td>March 7, 2019</td>
</tr>
<tr>
<td>Notice of Qualification</td>
<td>April 4, 2019</td>
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</table>
7.0 ISSUING AND PROCURING OFFICE
This RFQ is being issued for VIHFA. All general correspondence and inquiries about the RFQ should be submitted in writing and sent to the Procurement Officer listed below:

Virgin Islands Housing Finance Authority  
3438 Kronprindsens Gade  
GERS Complex- Suite 1  
St. Thomas, VI 00802  

Email: nroberts@vihfa.gov  
Attention: Nicole Roberts  

Inquiries may be made by e-mail. Mark subject line for emails “RFQ 003-2019-DR-STT/STX ”.

From the issue date of this RFQ until a determination is made regarding the selection of a Contractor, all contacts concerning this RFQ must be made through the Procurement Officer. Any violation of this condition is cause for VIHFA to reject the respondent’s package. VIHFA will not be responsible for any oral information given by any employees.

Failure to ask questions, request changes or submit objections shall constituted the acceptances of all terms, conditions and requirements in this RFQ. The issuance of a written addendum by the Procurement/Contract Officer is the only official method by which interpretation, clarification or additional information can be given. The potential respondent shall acknowledge the receipt of each addendum in their Cover Letter. VIHFA will send copies to all Respondents in the form of an Addendum to the RFQ seven (7) days after the question deadline, and responses to the questions will be posted on VIHFA website. Respondents shall rely only on written statements issued through or by VIHFA Procurement/Contract Officer.

If VIHFA amends this RFQ, the Procurement/Contract Officer will email the addenda to all potential respondents, and will post such notice on its website. VIHFA will not be held responsible if any potential Respondent does not provide current contact information to receive all addenda. It is the responsibility of the potential respondents to update all contact information and contact the Procurement/Contract Officer to ensure that they receive all addenda prior to the submittal of the proposal package. The proposal package will be considered non-responsive if all modifications are not incorporated.

8.0 CONFLICT OF INTEREST
A respondent submitting a proposal hereby certifies that no officer, agent or employee of VIHFA has a pecuniary interest in this bid or has participated in contract negotiations on behalf of VIHFA; that the proposal is made in good faith without fraud, collusion, or connection of any kind with any other
Bidder for the same request for proposals; the Bidder is competing solely in its own behalf without connection with, or obligation to, any undisclosed person or firm.

A respondent must also disclose any existing contractual work for the Territorial Government, whether directly or through a parent company, subsidiary company or associated company or independent contractor(s) hired by respondent; identity any potential conflict of interest, and must certify that respondent nor any parent company, subsidiary company or associated company or contractual/independent contractor(s) hired by respondent has assisted with preparing this RFQ.

9.0 M/WBE - Respondents that are not M/WBEs are strongly encouraged to consider partnering, or other joint venture arrangements, with certified M/WBE firms to achieve the prescribed goals and to give M/WBE firms the opportunity to participate.

Respondents must document good faith efforts to provide meaningful participation by M/WBE firms. Willful and/or intentional violation of this obligation may result in the imposition of liquated damages or other appropriate sanctions, including, without limitation, suspension of any future contracts with VIHFA and monetary payments based on the M/WBE goal shortfall.

10.0 GENERAL FEDERAL GRANT REQUIREMENTS - Because the contract is being funded with federal funds, the contract shall be governed by certain federal terms and conditions for federal grants, such as the Office of Management and Budget’s (“OMB”) applicable circulars. Respondent shall provide a description of experience with such grant requirements and affirmatively represent and certify that the respondent shall adhere to any requirements of applicable federal requirements. Any funds disallowed by any federal government entity shall be disallowed from fee or compensation to contractor.

11.0 HUD GENERAL PROVISIONS - Because the contract is being funded with HUD funds, the contract shall be governed by certain general HUD terms and conditions, attached hereto as Attachment 1. Respondent shall provide a description of experience with such requirements and affirmatively represent and certify that the respondent shall adhere to the terms and conditions set forth in the attachment.

12.0 STANDARD CLAUSES FOR CONTRACTS WITH VIHFA - Because the ultimate contract will be between the respondent and VIHFA, the contract shall be governed by certain standard VIHFA terms and conditions. Respondent shall certify that it will adhere to the terms and conditions set forth, and any subsequent changes deemed appropriate by VIHFA.
13.0 DELIVERY OF BID PACKAGES
All responses to this RFQ are to be submitted no later than 4:00 p.m. AST on March 7, 2019. Submissions must be packaged as set forth below. Respondents should clearly describe their ability to meet or exceed the desired qualifications described in the Desirable Qualifications. VIHFA reserves the right to request any additional information to assure itself of a Proposer’s financial condition and experience.

**Bid Packages must be submitted via mail to:**

Virgin Islands Housing Finance Authority  
3438 Kronprindsens Gade  
GERS Complex- Suite 1  
St. Thomas, VI 00802  
Attention: Nicole Roberts  
RFQ 003-2019-DR-STT/STX

The bid package should include five (5) properly labeled, sealed envelopes. One labeled original and containing an original hardcopy of all the materials and the other four containing copies of the original. Within each of these bid package envelopes, the contents should be divided into two (2) sub-envelopes, according to the specifications below and sealed. Mailed bid packages should also include one USB flash drive in Microsoft Office Suite Software or PDF format that contains all two (2) sub-envelope files corresponding to the envelope labels and containing a digital copy of all documents with the original hard copy package in the order specified below.

Proposals submitted for consideration should follow the format and order of presentation described below. The envelopes must be clearly marked. Failure to clearly mark each bid package with this information may cause VIHFA to inadvertently open the bid package before official closing date and time. VIHFA will mark all received bid packages with the date and time of receipt. Bids received after the official deadline will be considered **LATE** and will **not** be opened nor considered.

14.0 PROPOSAL REQUIREMENTS

14.1 COVER LETTER AND TABLE OF CONTENTS
Provide a cover letter that includes a certification that the information submitted in and with the submission is true and accurate, and that the person signing the cover letter is authorized to make the submission on behalf of the Respondent.

Provide a table of contents that clearly identifies the location of all material within the submission by section and page number.
Specify the primary contact person for the Respondent (name, title, location, telephone number, and email address).

**14.2 EXECUTIVE SUMMARY**

Provide an executive summary including a description of the Respondent’s legal status (e.g., individual practitioner, partnership, Limited Liability Company, corporation, non-profit organization, charitable institution, etc.), background, mission, an explanation of the types of services the Respondent provides that relate to this RFQ, and an organizational chart.

Briefly describe any significant changes to the management and/or structure of the Respondent that are related to the work contained in this RFQ, including any mergers that occurred in the last five (5) years.

Respondent shall provide financial statements for the previous two (2) years.

Please also note that all subcontractors of the selected firms, prior to and after the issuance of a contract, will be subject to prior written approval by VIHFA.

**14.3 PROJECT EXPERIENCE AND CAPACITY**

The Proposal shall include a summary of prior work engagements evidencing directly related experience to the requested RFQ scope. The summary shall identify engagements in which the Respondent has performed Environmental Review, Assessments, and Testing Services with entities comparable to Territory for which the Respondent provides, or has provided, similar services within the last (5) years. The summary shall detail at least three (3), but no more than five (5), similar engagements with private/public sector clients of similar size and complexity to the Territory that demonstrate direct experience with the scope of services to be provided. This must be done for each Task for which the Respondent is proposing.

Respondents who have demonstrated experience and success in providing such services will be scored higher than those who do not.

Each example should include:

- Name of client organization;
- Description of the engagement and project objectives, including start and end dates, and relevance of the referenced assignment to this RFQ;
- Information regarding the project that would demonstrate successes experienced by the client as a result of the recommendations (this may include performance metrics and improvements);
• Contact information for the client organization, including current and working contact information. Contact information shall include the address, telephone number and email address.

If a Respondent will be subcontracting or partnering any portion of the work, please provide a subcontracting plan that includes the following:

• Role and experience of the Respondent in providing the requested services under the RFQ;
• Summary of qualifications and experience of the relevant subcontractor/subconsultant/partner staff;
• Explanation of past engagements with proposed subcontractor/subconsultant/partner staff, if applicable;
• The estimated percentage of work to be assigned to subcontractor/subconsultant;
• The allocation of work amongst the subcontractor/subconsultant; and
• Methods of handling quality assurance and monitoring the work of subcontractor/subconsultant.

A paragraph should accompany each referenced experience describing the Respondent’s role on the relevant project(s) as well as any other contextual information.

Respondent shall also provide a summary of its capacity to perform the given scope of work. This narrative should highlight the Respondent’s ability to perform Environmental Review, Surveys & Assessments, and Testing Services. Respondents must clearly identify the ability to provide sufficient capacity for the efficient and timely implementation and administration of the work. Proposals that clearly demonstrate existing capacity and/or quick ramp up capabilities will be scored higher than those that do not.

14.4 REFERENCES
The Proposal shall include at least three (3) references for the Respondent. Respondents are required to provide a reference for any disaster-related experience they list in this section. Each reference should include the name, title, company, address, phone number and email address of the reference, and a brief summary of the relationship between the reference and the Respondent. Please list references other than VIHFA references.
VIHFA may seek information from references regarding subjects that include, but are not limited to, the quality of services provided, anticipated ability to perform the services required in this RFQ, and the responsiveness of the Respondent to the client during the engagement. Information provided by references will be used by VIHFA for submission evaluation purposes. References should be available and aware of their inclusion in the Respondent’s submission and pending contact.

VIHFA reserves the right to attempt, or not to attempt, to re-contact or notify Respondent of its inability to connect with references in an initial effort. VIHFA is not responsible for the lack of responsiveness of the references listed by Respondents, and the Territory is not required to alert Respondents of a reference’s unresponsiveness during the submission evaluation period. Inability to contact references will not be looked upon favorably. In addition, VIHFA reserves the right to contact other sources not necessarily identified in the submission to obtain information about Respondents.

VIHFA reserves the right to deploy, at its sole discretion, a variety of methods and communication approaches to contact references.

14.5 KEY PERSONNEL
Respondent shall identify all key personnel involved in performing the work identified per Task for which the Respondent is proposing. Attach the résumés and professional qualifications of all of the Respondent’s key personnel per Task being proposed, including degrees, certifications, licenses and years of relevant experience.

This section shall list, describe, and discuss the need for specific roles to perform each of the Task(s) the Respondent is proposing. The Respondent must provide at a minimum, a description of the role, inclusive of the minimum education and experience qualifications, and the role’s relevance to the scope.

Provide an organizational chart that shows how and by whom these functions will be performed for each Task for which the Respondent is proposing.

This section must include acknowledgement that, if selected, the Respondent has the capacity to respond with sufficient staff and that staff approved by VIHFA would be available for subsequent assignments as necessary.

The above must be done for each Task for which the Respondent is proposing.

15.0 BID PACKAGE FORMAT & PROPOSAL CONTENT

Main Envelope (Labeled “Originals” or “Copy 1, 2, 3, or 4”)
Sub-Envelopes to include:

Sub-Envelope 1

A. Cover Letter –
   i. The cover letter should be on the company’s official business letterhead with contact information and must be signed by an officer of the organization that is authorized to bind the company contractually to all of the commitments made in their submittal.
   ii. The letter should acknowledge the receipt of all addenda. It shall state, if awarded any contract as a result of this solicitation, that the firm will be solely responsible for all aspects of the engagement including any portion that may be performed by its subcontractors, if any.
   iii. It should make a positive commitment to perform the work required as specified to industry standards of workmanship and in a professional manner.
   iv. It should also state that the bid package will remain in effect for a period of 90 days from the submission deadline and thereafter, until the firm withdraws it, or a contract is approved and executed, or the procurement is canceled, whichever occurs first.
   v. Confirmation that the firm has not engaged in any unethical practices within the past five (5) years;

B. Executive Summary –
   i. A statement on the specific Task(s) the Respondent is responding to;
   ii. A summary of the Respondent’s qualifications;
   iii. A brief statement of the Respondent’s understanding of the scope of work to be performed;
   iv. Confirmations addressing any pending litigation statements detailed in H below;
   v. Ability to meet the overall requirements in the timeframes requested by VIHFA;
   vi. A brief statement reflecting the Respondent’s understanding of the scope of work to be performed;
   vii. Confirmation that the Respondent has any appropriate state business license(s) required for this proposal, or, if allowed by law, will obtain such business license;
   viii. Confirmation that the Respondent has not had a record of substandard work within the past five (5) years;
   ix. Confirmation that the Respondent has not engaged in any unethical practices within the past five (5) years;
   x. Confirmation that, if awarded a contract, the Respondent acknowledges its complete responsibility for the entire contract, including payment of any and all charges resulting from the contract;
   xi. Confirmation that Respondent has adequate financial resources for performance, or has the ability to obtain such resources as required during performance;
   xii. Has a written agreement with any person or subcontractor listed in the proposed project staff or team;
xiii. Provide a brief statement describing the adequacy of the Respondent’s financial capacity to handle the requirements of this RFQ;

xiv. Provide a descriptive list of any and all criminal convictions in the past ten (10) years or active investigations or prosecutions in which the Respondent or any of its officers, directors, or management personnel were or are defendants or targets of investigation;

xv. Provide a descriptive list of any and all civil lawsuits in the past five (5) years in which the Respondent or any of its officers, directors, or management personnel were or are plaintiffs or defendants with claims in excess of $100,000; and

xvi. Any other information that the Respondent feels appropriate.

C. Non-Collusive Affidavit – Complete Enclosure Document A. The form must be notarized.

D. Debarment Certification Form – Complete Enclosure Document B.

E. Contract Document Checklist Form – Complete Enclosure Document C and submit your current Business License. The Business License must be relevant to the Scope of Work for this solicitation.

F. Contractor’s Qualifications Statement Form – Complete Enclosure Document D. For the Reference Section of the form, provide three (3) references for the most recent, relevant work comparable to the scope requested in this RFQ and who would be willing to discuss your company’s competency and performance must be provided. If you currently have more than three (3) references, a client listing with contact information should be provided as well. At a minimum, one of the three (3) references must be for the prime Contractor. In addition, if bidding to qualify to do work under Task 1, one of the three (3) references must be for prior Environmental Review work completed per HUD mandated rules and regulations at 24 CFR Part 58 within the last five (5) years.

G. Credentials – Provide the résumés and professional qualifications of the Respondent’s key personnel and staff to be assigned for the services requested in this RFQ and each specific Task, including degrees, licenses, certifications, and years of relevant experience.

H. Pending Litigation Notifications – Proposers must provide statements in the Executive Summary regarding the following even if there are/were no such proceedings.

i. The Proposer shall provide a statement of whether, in the last ten (10) years, the Proposer has filed (or had filed against it) any bankruptcy or insolvency proceeding, whether voluntary or involuntary, or undergone the appointment of a receiver, trustee, or assignee for the benefit of creditors, and if so, the explanation providing relevant details.

ii. The Proposer shall provide a statement of whether there are any pending Securities Exchange Commission investigations involving the Proposer, and if such are pending or in progress, an explanation providing relevant details and an attached opinion of counsel
as to whether the pending investigation(s) will impair the Proposer’s performance in a contract under this RFQ.

iii. The Proposer shall provide a statement documenting all open or pending litigation initiated by Proposer or where Proposer is a defendant in a customer matter.

Sub-Envelope 2

A. Proposal – The Proposal should outline your approach to any and all Task Areas you are bidding on.

Provide a synopsis of your approach to managing the outlined scope of work, proposed schedule for staff and overall management style for achieving the Scope of Work. Proposal content shall include:

i. Summary
   a. Provide a summary including a description of the respondent’s mission, and an explanation of the types of services the respondent provides that relate to this RFQ.
   b. Briefly describe any significant changes to the management and/or structure of the respondent that are related to the work contained in this RFQ, including any mergers that occurred in the last five (5) years.
   c. The name, address, telephone, fax, and email of the respondent should be included. The Respondent shall provide its office locations, number of full time employees, date of incorporation, and number of years providing CDBG disaster recovery services.

ii. Experience and Qualifications
   a. Provide a summary of the types of services the Respondent offers that relate to this RFQ.
   b. Provide specific details on any previous experience with housing, community development, infrastructure and/or economic revitalization-related disaster recovery projects and any previous experience in the four Task Areas outlined in this RFQ.
   c. Proposals must demonstrate that the respondent has each of the necessary minimum qualifications listed in this RFQ and is able to carry out each of the specific Tasks and Deliverables identified in this RFQ.
   d. Respondents should provide detailed information about the experience and qualifications of its staff who are considered key to the success of the project.
   e. Respondents should demonstrate that all proposed staff have the requisite necessary experience and knowledge to successfully implement and perform the tasks and services under this RFQ.
   f. Provide at least three (3) current or past experiences for the Respondent and for any partners or subcontractors. At a minimum, the past experiences must include the experiences related to the references provided on Enclosure Document D, including one of the three (3) experiences must be for the prime
Contractor and if bidding to qualify to do work under Task 1, one of the three (3) references must be for prior Environmental Review work completed per HUD mandated rules and regulations at 24 CFR Part 58.

g. Each experience should include the client name, main point of contact, title, and a description of the services provided.

h. If the respondent will be subcontracting or partnering for any portion of the work, please also summarize the qualifications and experience of the subcontractor/partner’s relevant staff and attach any contracts or agreements pertaining to the proposal.

i. Any subcontractor included in the Proposal must have agreed in writing to being included in the Respondent’s proposed project staff or team. Any such written agreement must be produced to VIHFA upon request. Any financial terms and personally identifying information (i.e. social security number) may be redacted from the production to VIHFA.

iii. Organizational Chart

a. Respondent shall submit an organizational chart detailing the identity of each staff member who shall perform the services required under this RFQ. Specifically identify people currently employed by the Respondent who will serve in key roles listed in the organizational chart.

b. In addition, for any staffing functions for which specific roles have not been provided in this RFQ, the respondent should submit a list, describe, and discuss the need for specific roles to perform certain functions and provide an organizational chart that shows how and by whom these functions will be performed.

iv. Approach & Methodology

a. Respondents that present a clear and straightforward work plan for all aspects of execution of services, which is based on a well-defined timeline for delivery of key goals and objectives, and places emphasis on high standards for the delivery of services in expectation of meeting or exceeding these goals, will score higher that those who do not.

b. The Proposal shall explain how the Respondent will achieve the goals, objectives, tasks, and deliverables outlined in this RFQ, including a detailed narrative describing the unique process which the Respondent would apply to delivery of Environmental Review, Assessments, and Testing Services. The Proposal shall address why the proposed approach is appropriate and suited for the specific RFQ scope. Proposals must provide examples of how the proposed approach has achieved success in specific, relevant projects for public or private sector organizations similar in size and complexity to the Territory’s government. This section must contain enough information to ascertain the success of the projects accomplished by the Respondent and shall include the metrics and factors used to demonstrate that those projects and the Respondent’s approach were indeed successful.
c. Respondent shall provide a detailed approach for establishing each Task in this RFQ. The Proposal shall describe the Respondent’s approach to identifying risks and mitigation measures, its approach to making implementation recommendations, and a description of its management and quality control measures. The Proposal shall include samples of existing or planned methodologies that will support the project or program, including plans, reports, tools, and other aspects related to implementation of this work, and shall include specific procedures for ensuring compliance with all federal and state legal requirements related to this scope and operations with and for VIHFA.

The above must be done for each Task for which the Respondent is proposing.

v. Timeline and Staffing Plan
a. Based on your previous experience, the Respondent shall provide the average time required to execute the services as detailed in the RFQ.
b. The Respondent should demonstrate their ability to adequately staff and scale service levels from initial start-up and throughout the life of the Program.
c. The Respondent’s staffing plan shall provide a plan for personnel required for each Task area, role and responsibilities of each person, and their on-site availability.
d. Staffing plan shall include a plan for Section 3 compliance, Equal Employment Opportunity and Minority and Women Owned Business Enterprise (M/WBE) utilization.

vi. Clearly identify the staff that will need to be hired, the process for hiring them, and how they will be trained. Indicate if any work will be subcontracted to other partners. If applicable, please provide a pending or executed contract, MOU, or agreement. If the agreement is pending a successful proposal, it must be executed, and a copy submitted to VIHFA prior to the execution of the contract under this RFQ. Management Plan and Quality Assurance / Quality Control
a. The Respondent shall provide detailed information on its management plan for the services and its quality assurance / quality control procedures associated with the scope of work.
b. Outline procedures for ensuring compliance with all federal and state requirements, including but not limited to CDBG-DR eligibility requirements, hiring and contracting requirements, including Section 3, federal and state labor standards, and M/WBE compliance.

15.1 REQUIRED DOCUMENTS
The successful Respondent(s) shall have ten (10) business days from the day the notice of selection is received to submit the following documents:
A. **Corporate Documents** – The successful Respondent will be required to provide a copy of their Corporate Documents.

- **Provide a copy of Corporate Documents**
  
  Corporation
  - Copy of Articles of Incorporation & By Laws

  Limited Liability Company (LLC)
  - Copy of Articles of Organization
  - Copy of Operating Agreement

  Sole Proprietor
  - Copy of Trade Name Certificate

B. **Letter of Good Standing if Corporation or Certificate of Existence if LLC** - The successful respondent will be required to provide a copy of their Letter of Good Standing or Certificate of Existence. A copy of the receipt that demonstrates evidence of filing the company’s Annual Report on June 30th of the current Year from Secretary of State will be acceptable as well.

C. **Liability Insurance** – The successful respondent will be required to obtain and have in place Liability Insurance in an amount no less than Five Hundred Thousand Dollars ($500,000.00). The Insurance policy shall name the VIHFA as an “Additional Insured”. The successful respondent must provide a copy of the Liability Insurance.

D. **Worker’s Compensation** - The successful respondent will be required to provide proof of Worker’s Compensation.

E. **Social Security Number (SSN) or Employer Identification Number (EIN)** - The successful respondent will be required to provide an official copy of their SSN or EIN.

F. **DUNS Number** – The successful respondent will be required to provide documentation showing its DUNS.

Failure to provide the required documents within the stated time period may result in the proposals deemed non-responsive and may be immediately disqualified with no further consideration given for potential awarding of the contract.
16.0 SELECTION PROCESS
VIHFA will evaluate all Proposals that are received in a proper and timely manner to determine whether they meet the submission requirements. Awards are made to the most responsive bidder(s) that provides the proposal that is most advantageous to VIHFA, considering such factors as the Bidder's ability to perform the work of the kind involved in the bid under consideration, the Bidders' past experience, time of delivery, etc.

VIHFA, at its sole discretion, will determine which Proposal best satisfies its requirements. All Proposals deemed to be responsive to the requirements of this RFQ will be evaluated and scored for technical qualities. Proposals that are materially deficient in meeting the submission requirements of this RFQ or have omitted material documents may be eliminated from consideration at the sole discretion of VIHFA. The evaluation process will include a technical evaluation and will be conducted as set forth herein.

Through an evaluation panel, VIHFA will establish a shortlist, either through a natural break in scores or a technically viable cut off technical score. Depending on the number of respondents, VIHFA reserves the right to deviate from this approach.

The Evaluation Committee Panel is responsible for evaluating all responsive Respondents’ submittals. The Evaluation Committee Panel will consider the following criteria:

- **Documentation:** The Contractor is duly organized, validly existing, qualified and licensed to conduct business.
  - 15 Points

- **Qualification:** Shall be evaluated based on your firm’s credentials.
  - 35 Points

- **Environmental Review, Assessments, and Testing Services Experience:** The Contractor’s proven success in Environmental Review, Assessments, and Testing Services for similar size and scope projects.
  - 25 Points

- **Proposal:** Shall be evaluated based on your firm’s approach to the outlined scope of work and overall management of projected deliverables, schedule delivery and overall impression.
  - 25 Points

16.1 RIGHT TO REJECT BID PACKAGES

VIHFA reserves the right to reject, without prejudice, any and all bids submitted in response to this solicitation. Further, Bids submitted in response to this solicitation become the property of VIHFA
and VIHFA may use any idea or concept in a submitted bid, regardless of whether that bid is selected for award.

16.2 CHANGES, ADDENDA, AND WITHDRAWALS

VIHFA reserves the right to change the schedule of events or revise any part of the RFQ by issuing an addendum to the RFQ at any time. Addenda, if any, will be posted at https://www.vihfa.gov/disaster-recovery/contracts.

16.3 WITHDRAWL OF PROPOSAL

A Respondent may withdraw a proposal that has been submitted at any time up to the date and time the proposal is due. To withdraw a proposal, a written request signed by the authorized representative of the Respondent must be submitted to the RFQ Coordinator identified in the RFQ.

16.4 COST OF OFFEROR PREPARATION

VIHFA shall not be liable for any costs incurred by respondents prior to issuance of or entering into a contract. Costs associated with developing the proposal, preparing for oral presentations, and any other expenses incurred by the Respondent in responding to this RFQ shall be entirely the responsibility of the Respondent and shall not be reimbursed in any manner by the VIHFA.

16.5 CONTRACT AWARD AND EXECUTION

VIHFA reserves the right to enter into a contract(s) based on the initial offers received without further discussion of the proposals submitted. VIHFA reserves the right to contract for all or a partial list of services offered in the proposals. VIHFA reserves the right to negotiate reduced payment terms with the awarded Proposer(s).

Enclosures
- Enclosure Document A Non-Collusive Affidavit
- Enclosure Document B Debarment Certification Form
- Enclosure Document C Contract Document Checklist Form
- Enclosure Document D Contractor’s Qualification Statement Form

Attachments
- Attachment 1 HUD General Provisions
ENCLOSURE DOCUMENT A

Provide a detailed work plan with corresponding timeline that identifies date-specific milestones for hiring, training, and other key components of the program.

NON-COLLUSIVE AFFIDAVIT

__________________________________________, being first duly sworn, deposes and says:

That he/she is ___________________________________________________ (a partner or officer of the firm of, etc.) the party making the foregoing proposal or proposal cost, that such proposal/bid or proposal cost/bid cost is genuine and not collusive or sham; that said proponent has not colluded conspired, connived or agreed directly or indirectly, with any proponent or person, to put in a sham proposal cost or to refrain from bidding and has not in any matter directly or indirectly sought by agreement or collusion or communication or conference, with any person, to fix the proposal cost of the affinity or of any other proponent, or to fix any overhead, profit or cost element of said cost proposal, or of that of any other proponent, or to secure any advantage against the Virgin Islands Housing Finance Authority or any person interested in the proposed contract; and that all statements in said proposal or cost proposal are true.

_______________________________________________________
(Name of Respondent, if the Respondent is a Corporation)

____________________________________________
(Name of Respondent, if the Respondent is a Limited Liability Company)

____________________________________________
(Name of the Respondent, if the Respondent is a Sole Proprietor)

Subscribed and sworn to before me on the Island of _________________________,
this __________ day of ____________, 2018, by __________________________________
of legal age, ____________________________________________________________
(Trade or Corporation)

and personally known to me.

(SEAL)
________________________________________________________
Public Notary
ENCLOSURE DOCUMENT B
DEBARMENT CERTIFICATION FORM

Certification Regarding Debarment, Suspension and Ineligibility

(1) The respondent certifies, by submission of this RFQ Response, that neither it nor its principals are presently debarred, suspended, proposed for debarment, declared ineligible, or voluntarily excluded from participation in any federal or local programs in the Territory or any Federal department or agency.

(2) Where the respondent is unable to certify to any of the statements in this certification, such respondent shall attach an explanation to this RFQ Response.

Name and Title of Authorized Representative

__________________________________________________

Signature Date
ENCLOSURE DOCUMENT C

CONTRACT DOCUMENT CHECKLIST

Name of Contractor: ________________________________________________________________

Contact Person: _________________________ Telephone Number: _______________________

1. ___ Contractor Corporate Documents
   ____ Corporation  ___ Copy of Articles of Incorporation & By Laws
   ____ Letter of Good Standing from Office of the Lt. Governor

   ____ LLC  ___ Copy of Articles of Organization
   ____ Copy of & Operating Agreement
   ____ Certificate of Existence from Office of the Lt. Governor

   ____ Sole Proprietor  ___ Copy of Trade Name Certificate

2. ___ Current business license
   Type of business license: ________________________________
   Expiration date: _________/_____/20___

3. ___ Employer Identification Number (EIN/ SSN): _________________________________

4. ___ DUNS Number: ___________________________________________________________

5. ___ Insurance Binder
   Type of Insurance: ___ General Liability ___ Automobile ___ Errors and Omissions
   Expiration date: _________/_____/20___

--------------------------------------------For VIHFA use only---------------------------------------------

6. ___ Proposed Scope of Work TB# ______ IFB# ______ RFP# ______ RFQ# ______

7. ___ Bids ___ Signed Bid Evaluation Spreadsheet

8. ___ Request for Approval from CDBG-DR Director and approval by Chief Operating Officer & Executive Director.
   CDBG-DR Staff Final Review Date: ___/_____/20________________________
   Date Submitted by CDBG-DR ___/_____/20________________________
   Outstanding Issues: ___________________________________________________________
   CDBG-DR Director: ____________________________ Date approved: ______________
   Legal Counsel: ____________________________ Date approved: ______________
   Date Submitted to Executive Director for Contract Approval: ___/_____/20____
   Suggested # of Days in Contract _____________________________________________
   Mobilization/Payment Terms __________________________________________________

ENCLOSURE DOCUMENT D
CONTRACTOR’S QUALIFICATION STATEMENT

Name of License Holder: ____________________________
Name of Company/DBA (if any): ________________________
Legal Status: (check one) Corp. _______ LLC _______ Partnership _______ Sole Proprietorship _______
Business Location (office): ____________________________
Mailing Address: _____________________________________
Telephone Number: __________________ Fax Number: ______ Email: __________________
Website address (if any): ______________________________

Number of Years licensed to conduct business _______________
Number of Environmental Review, Assessment, and Testing Services completed in the last 5 Years _______. Average value of these Contracts $ ________________
Do you have current Liability Insurance Coverage? □ Yes □ No If yes, value $ __________________

Have you ever failed to complete a project, been fired and/or sued by one of your clients? _____
(If yes, explain on another sheet, the circumstances and outcome)

Are there or have there been any Claims, Arbitration, Judgments or Liens against you? _______
(If yes, explain on another sheet, the circumstances and outcome)

List three references of previous clients and their contact numbers that can be contacted for their input concerning your ability as a Contractor:
1) Name _____________________________ Contact Number _______________________
2) Name _____________________________ Contact Number _______________________
3) Name _____________________________ Contact Number _______________________

List your current Projects under Contract (Project Title or Clients Name), Type of Project, Value (Contract Value) and Percentage of Completion:
1) ___________________________________ Type: _______ Value: _______ % _______
2) ___________________________________ Type: _______ Value: _______ % _______
3) ___________________________________ Type: _______ Value: _______ % _______
(If you have more contracts, please list on separate sheet)

List the various types of trade work your crew typically provides: ____________________________

List the types of Subcontractors you will typically utilize: ____________________________

Certification of truth of the above Statements, by: __________________ Title: ___________________
Attachment 1

HUD GENERAL PROVISIONS

The following terms and conditions apply to any contract for which any portion of the funding is derived from a grant made by the United States Department of Housing and Urban Development (“HUD”). In addition, Contractor or Subcontractor shall comply with the Federal Labor Standards Provisions set forth in Form HUD-4010, available at http://www.hud.gov/offices/adm/hudclips/forms/files/4010.pdf.

1. PROVISIONS REQUIRED BY LAW DEEMED INSERTED

Each and every provision of law and clause required by law to be inserted in this contract shall be deemed to be inserted herein and the contract shall be read and enforced as though it were included herein, and if through mistake or otherwise any such provision is not inserted, or is not correctly inserted, then upon the application of either party the contract shall forthwith be physically amended to make such insertion or correction.

2. STATUTORY AND REGULATORY COMPLIANCE

Subcontractor shall comply with all laws and regulations applicable to the Community Development Block Grant-Disaster Recovery funds appropriated by the Disaster Relief Appropriations Act, 2017 (Pub. L. 115-56) and the Bipartisan Budget Act of 2018 (“BBA”), (Pub. L. 115-123), including but not limited to the applicable Office of Management and Budget Circulars, which may impact the administration of funds and/or set forth certain cost principles, including the allowability of certain expenses.

3. BREACH OF CONTRACT TERMS

VIHFA reserves its right to all administrative, contractual, or legal remedies, including but not limited to suspension or termination of this contract, in instances where the Contractor or any of its subcontractors violate or breach any contract term. If the Contractor or any of its subcontractors violate or breach any contract term, they shall be subject to such sanctions and penalties as may be appropriate. The duties and obligations imposed by the contract documents and the rights and remedies available thereunder shall be in addition to and not a limitation of any duties, obligations, rights and remedies otherwise imposed or available by law.

4. REPORTING REQUIREMENTS

The Subcontractor shall complete and submit all reports, in such form and according to such schedule, as may be required by VIHFA. The Subcontractor shall cooperate with all VIHFA efforts to comply with HUD requirements and regulations pertaining to reporting, including but not limited to 24 C.F.R. §§ 85.40-41 (or 84.50-52, if applicable) and 570.507.
5. **ACCESS TO RECORDS**

The State, the U.S. Department of Housing and Urban Development, the Comptroller General of the United States, or any of their duly authorized representatives, shall have, at any time and from time to time during normal business hours, access to any work product, books, documents, papers, and records of the Subcontractor which are related to this contract, for the purpose of inspection, audits, examinations, and making excerpts, copies and transcriptions.

6. **MAINTENANCE/RETENTION OF RECORDS**

All records connected with this contract will be maintained in a central location and will be maintained for a period of at least four (4) years following the date of final payment and close-out of all pending matters related to this contract.

7. **SMALL AND MINORITY FIRMS, WOMEN’S BUSINESS ENTERPRISES, AND LABOR SURPLUS AREA FIRMS**

The Subcontractor will take necessary affirmative steps to assure that minority firms, women’s business enterprises, and labor surplus area firms are used in subcontracting when possible. Steps include:

(i) Placing qualified small and minority businesses and women’s business enterprises on solicitation lists;

(ii) Assuring that small and minority businesses, and women’s business enterprises are solicited whenever they are potential sources;

(iii) Dividing total requirements, when economically feasible, into smaller tasks or quantities to permit maximum participation by small and minority business, and women’s business enterprises;

(iv) Establishing delivery schedules, where the requirement permits, which encourage participation by small and minority business, and women’s business enterprises; and

(v) Using the services and assistance of the Small Business Administration, and the Minority Business Development Agency of the Department of Commerce.
8. **RIGHTS TO INVENTIONS MADE UNDER A CONTRACT OR AGREEMENT**

Contracts or agreements for the performance of experimental, developmental, or research work shall provide for the rights of the Federal Government and the recipient in any resulting invention in accordance with 37 CFR part 401, "Rights to Inventions Made by Nonprofit Organizations and Small Business Firms Under Government Grants, Contracts and Cooperative Agreements," and any implementing regulations issued by HUD.

9. **ENERGY EFFICIENCY**

The Subcontractor shall comply with mandatory standards and policies relating to energy efficiency issued in compliance with the Energy Policy and Conservation Act (Public Law 94-163).

10. **TITLE VI OF THE CIVIL RIGHTS ACT OF 1964**

The Contractor shall comply with the provisions of Title VI of the Civil Rights Act of 1964. No person shall, on the grounds of race, color, or national origin, be excluded from participation in, be denied the benefits of, or be subjected to discrimination under any program or activity receiving federal financial assistance.

11. **SECTION 109 OF THE HOUSING AND COMMUNITY DEVELOPMENT ACT OF 1974**

The Subcontractor shall comply with the provisions of Section 109 of the Housing and Community Development Act of 1974. No person in the United States shall on the grounds of race, color, national origin, or sex be excluded from participation in, be denied the benefits of, or be subjected to discrimination under any program or activity funded in whole or in part with funds made available under this title. Section 109 further provides that discrimination on the basis of age under the Age Discrimination Act of 1975 or with respect to an otherwise qualified handicapped individual as provided in Section 504 of the Rehabilitation Act of 1973, as amended, is prohibited.

12. **SECTION 504 OF THE REHABILITATION ACT OF 1973**


The Subcontractor agrees that no qualified individual with handicaps shall, solely on the basis of handicap, be excluded from participation in, be denied the benefits of, or otherwise be subjected to discrimination under any program or activity that receives Federal financial assistance from HUD.
13. **AGE DISCRIMINATION ACT OF 1975**

The Subcontractor shall comply with the Age Discrimination Act of 1975 (42 U.S.C. § 6101 et seq.), as amended, and any applicable regulations. No person in the United States shall, on the basis of age, be excluded from participation in, be denied the benefits of, or be subjected to discrimination under, any program or activity receiving Federal financial assistance.

14. **DEBARMENT, SUSPENSION, AND INELIGIBILITY**

The Subcontractor represents and warrants that it and its subcontractors are not debarred or suspended or otherwise excluded from or ineligible for participation in Federal assistance programs subject to 2 C.F.R. part 2424.

15. **CONFLICTS OF INTEREST**

The Subcontractor shall notify VIHFA as soon as possible if this contract or any aspect related to the anticipated work under this contract raises an actual or potential conflict of interest (as defined at 2 C.F.R. Part 215 and 24 C.F.R. § 85.36 (or 84.42, if applicable)). The Subcontractor shall explain the actual or potential conflict in writing in sufficient detail so that the State is able to assess such actual or potential conflict. The Subcontractor shall provide VIHFA any additional information necessary for VIHFA to fully assess and address such actual or potential conflict of interest. The Subcontractor shall accept any reasonable conflict mitigation strategy employed by VIHFA, including but not limited to the use of an independent subcontractor(s) to perform the portion of work that gives rise to the actual or potential conflict.

16. **SUBCONTRACTING**

When subcontracting, the Subcontractor shall solicit for and contract with such subcontractors in a manner providing for fair competition. Some of the situations considered to be restrictive of competition include but are not limited to:

(i) Placing unreasonable requirements on firms in order for them to qualify to do business,

(ii) Requiring unnecessary experience and excessive bonding,

(iii) Noncompetitive pricing practices between firms or between affiliated companies,

(iv) Noncompetitive awards to consultants that are on retainer contracts,

(v) Organizational conflicts of interest,
(vi) Specifying only a *brand name* product instead of allowing *an equal* product to be offered and describing the performance of other relevant requirements of the procurement, and

(vii) Any arbitrary action in the procurement process.

The Subcontractor represents to VIHFA that all work shall be performed by personnel experienced in the appropriate and applicable profession and areas of expertise, taking into account the nature of the work to be performed under this contract.

The Subcontractor will include these HUD General Provisions in every subcontract issued by it so that such provisions will be binding upon each of its subcontractors as well as the requirement to flow down such terms to all lower-tiered subcontractors.

17. **ASSIGNABILITY**

The Subcontractor shall not assign any interest in this contract, and shall not transfer any interest in the same (whether by assignment or novation) without prior written approval of VIHFA.

18. **INDEMNIFICATION**

The Subcontractor shall indemnify, defend, and hold harmless VIHFA and its agents and employees from and against any and all claims, actions, suits, charges, and judgments arising from or related to the negligence or willful misconduct of the Subcontractor in the performance of the services called for in this contract.

19. **COPELAND “ANTI-KICKBACK” ACT** (Applicable to all construction or repair contracts)

Salaries of personnel performing work under this contract shall be paid unconditionally and not less often than once a month without payroll deduction or rebate on any account except only such payroll deductions as are mandatory by law or permitted by the applicable regulations issued by the Secretary of Labor pursuant to the Copeland “Anti-Kickback Act” of June 13, 1934 (48 Stat. 948; 62 Stat. 740; 63 Stat. 108; Title 18 U.S.C. § 874; and Title 40 U.S.C. § 276c). The Subcontractor shall comply with all applicable “Anti-Kickback” regulations and shall insert appropriate provisions in all subcontracts covering work under this contract to ensure compliance by subcontractors with such regulations, and shall be responsible for the submission of affidavits required of subcontractors thereunder except as the Secretary of Labor may specifically provide for variations of or exemptions from the requirements thereof.

20. **CONTRACT WORK HOURS AND SAFETY STANDARDS ACT**

(Applicable to construction contracts exceeding $2,000 and contracts exceeding $2,500 that involve the employment of mechanics or laborers)
The Subcontractor shall comply with Sections 103 and 107 of the Contract Work Hours and Safety Standards Act (40 U.S.C. §§ 327-330) as supplemented by Department of Labor regulations (29 C.F.R. part 5).

All laborers and mechanics employed by contractors or subcontractors shall receive overtime compensation in accordance with and subject to the provisions of the Contract Work Hours and Safety Standards Act, and the contractors and subcontractors shall comply with all regulations issued pursuant to that act and with other applicable Federal laws and regulations pertaining to labor standards.

21. **DAVIS-BACON ACT**

(Applicable to construction contracts exceeding $2,000 when required by Federal program legislation)

The Subcontractor shall comply with the Davis Bacon Act (40 U.S.C. §§ 276a to 276a-7) as supplemented by Department of Labor regulations (29 C.F.R. part 5).

All laborers and mechanics employed by contractors or subcontractors, including employees of other governments, on construction work assisted under this contract, and subject to the provisions of the federal acts and regulations listed in this paragraph, shall be paid wages at rates not less than those prevailing on similar construction in the locality as determined by the Secretary of Labor in accordance with the Davis-Bacon Act.

22. **TERMINATION FOR CAUSE** (Applicable to contracts exceeding $10,000)

If, through any cause, the Subcontractor shall fail to fulfill in a timely and proper manner his obligations under this contract, or if the Subcontractor shall violate any of the covenants, agreements, or stipulations of this contract, VIHFA shall thereupon have the right to terminate this contract by giving written notice to the Subcontractor of such termination and specifying the effective date thereof, at least five (5) days before the effective date of such termination. In such event, all finished or unfinished documents, data, studies, surveys, drawings, maps, models, photographs, and reports prepared by the Subcontractor under this contract shall, at the option of VIHFA, become VIHFA’s property and the Subcontractor shall be entitled to receive just and equitable compensation for any work satisfactorily completed hereunder. Notwithstanding the above, the Subcontractor shall not be relieved of liability to VIHFA for damages sustained by VIHFA by virtue of any breach of the contract by the Subcontractor, and VIHFA may withhold any payments to the Subcontractor for the purpose of set-off until such time as the exact amount of damages due VIHFA from the Subcontractor is determined.

23. **TERMINATION FOR CONVENIENCE** (Applicable to contracts exceeding $10,000)

VIHFA may terminate this contract at any time by giving at least ten (10) days’ notice in writing to the Subcontractor. If the contract is terminated by VIHFA as provided herein, the Subcontractor will be paid for the time provided and expenses incurred up to the termination date.
24. **SECTION 503 OF THE REHABILITATION ACT OF 1973 (Applicable to contracts exceeding $10,000)**


**Equal Opportunity for Workers With Disabilities**

1. The Subcontractor will not discriminate against any employee or applicant for employment because of physical or mental disability in regard to any position for which the employee or applicant for employment is qualified. The Subcontractor agrees to take affirmative action to employ, advance in employment and otherwise treat qualified individuals with disabilities without discrimination based on their physical or mental disability in all employment practices, including the following:

   i. Recruitment, advertising, and job application procedures;

   ii. Hiring, upgrading, promotion, award of tenure, demotion, transfer, layoff, termination, right of return from layoff and rehiring;

   iii. Rates of pay or any other form of compensation and changes in compensation;

   iv. Job assignments, job classifications, organizational structures, position descriptions, lines of progression, and seniority lists;

   v. Leaves of absence, sick leave, or any other leave;

   vi. Fringe benefits available by virtue of employment, whether or not administered by the Subcontractor;

   vii. Selection and financial support for training, including apprenticeship, professional meetings, conferences, and other related activities, and selection for leaves of absence to pursue training;

   viii. Activities sponsored by the contractor including social or recreational programs; and

   ix. Any other term, condition, or privilege of employment.

2. The Subcontractor agrees to comply with the rules, regulations, and relevant orders of the Secretary of Labor issued pursuant to the act.
3. In the event of the Subcontractor’s noncompliance with the requirements of this clause, actions for noncompliance may be taken in accordance with the rules, regulations, and relevant orders of the Secretary of Labor issued pursuant to the act.

4. The Subcontractor agrees to post in conspicuous places, available to employees and applicants for employment, notices in a form to be prescribed by the Deputy Assistant Secretary for Federal Contract Compliance Programs, provided by or through the contracting officer. Such notices shall state the rights of applicants and employees as well as the Subcontractor’s obligation under the law to take affirmative action to employ and advance in employment qualified employees and applicants with disabilities. The Subcontractor must ensure that applicants and employees with disabilities are informed of the contents of the notice (e.g., the Subcontractor may have the notice read to a visually disabled individual, or may lower the posted notice so that it might be read by a person in a wheelchair).

5. The Subcontractor will notify each labor organization or representative of workers with which it has a collective bargaining agreement or other contract understanding, that the Subcontractor is bound by the terms of section 503 of the Rehabilitation Act of 1973, as amended, and is committed to take affirmative action to employ and advance in employment individuals with physical or mental disabilities.

6. The Subcontractor will include the provisions of this clause in every subcontract or purchase order in excess of $10,000, unless exempted by the rules, regulations, or orders of the Secretary issued pursuant to section 503 of the act, as amended, so that such provisions will be binding upon each subcontractor or vendor. The Subcontractor will take such action with respect to any subcontract or purchase order as the Deputy Assistant Secretary for Federal Contract Compliance Programs may direct to enforce such provisions, including action for noncompliance.

25. **EXECUTIVE ORDER 11246**

(Applicable to construction contracts and subcontracts exceeding $10,000)


During the performance of this contract, the Subcontractor agrees as follows:

A. The Subcontractor shall not discriminate against any employee or applicant for employment because of race, color, religion, sex, or national origin. The Subcontractor shall take affirmative action to ensure that applicants for employment are employed, and that employees are treated during employment, without regard to their race, color, religion, sex, or national origin. Such action shall include, but not be limited to, the following: employment, upgrading,
demotion, or transfer; recruitment or recruitment advertising; layoff or termination; rates of pay or other forms of compensation; and selection for training, including apprenticeship.

B. The Subcontractor shall post in conspicuous places, available to employees and applicants for employment, notices to be provided by Contracting Officer setting forth the provisions of this non-discrimination clause. The Subcontractor shall state that all qualified applicants will receive consideration for employment without regard to race, color, religion, sex, or national origin.

C. The Subcontractor will, in all solicitations or advertisements for employees placed by or on behalf of the Subcontractor, state that all qualified applicants will receive consideration for employment without regard to race, color, religion, sex or national origin.

D. The Subcontractor will send to each labor union or representative of workers with which he has a collective bargaining agreement or other contract or understanding, a notice, to be provided by the agency contracting officer, advising the labor union or workers representative of the contractor’s commitments under Section 202 of Executive Order 11246 of September 24, 1965, and shall post copies of the notice in conspicuous places available to employees and applicants for employment.

E. The Subcontractor will comply with all provisions of Executive Order 11246 of September 24, 1965, and of the rules, regulations and relevant orders of the Secretary of Labor.

F. The Subcontractor will furnish all information and reports required by Executive Order 11246 of September 24, 1965, and by the rules, regulations and orders of the Secretary of Labor, or pursuant thereto, and will permit access to books, records and accounts by the contracting agency and the Secretary of Labor for purposes of investigation to ascertain compliance with such rules, regulations and orders.

G. In the event of the Subcontractor’s non-compliance with the non-discrimination clause of this contract or with any of such rules, regulations or orders, this contract may be cancelled, terminated or suspended in whole or in part and the Subcontractor may be declared ineligible for further government contracts in accordance with procedures authorized in Executive Order 11246 and such other sanctions as may be imposed and remedies invoked as provided in Executive Order 11246 of September 24, 1965, or by rule, regulation or order of the Secretary of Labor, or as otherwise provided by law.

H. Subcontractor shall incorporate the provisions of A through G above in every subcontract or purchase order unless exempted by rules, regulations or orders of the Secretary of Labor so that such provisions shall be binding on such subcontractor. The Subcontractor will take such action with respect to any subcontract or purchase order as the contracting agency may direct.
as a means of enforcing such provisions including sanctions for non-compliance, provided, however, that in the event the contractor becomes involved in, or is threatened with, litigation with a subcontractor or vendor as a result of such direction by the contracting agency, the Subcontractor may request the United States to enter into such litigation to protect the interests of the United States.

26. **CERTIFICATION OF NONSEGREGATED FACILITIES** (Applicable to construction contracts exceeding $10,000)

The Subcontractor certifies that it does not maintain or provide for its establishments, and that it does not permit employees to perform their services at any location, under its control, where segregated facilities are maintained. It certifies further that it will not maintain or provide for employees any segregated facilities at any of its establishments, and it will not permit employees to perform their services at any location under its control where segregated facilities are maintained. The Subcontractor agrees that a breach of this certification is a violation of the equal opportunity clause of this contract.

As used in this certification, the term “segregated facilities” means any waiting rooms, work areas, rest rooms and wash rooms, restaurants and other eating areas, time clocks, locker rooms, and other storage or dressing areas, parking lots, drinking fountains, recreation or entertainment areas, transportation and housing facilities provided for employees which are segregated by explicit directive or are, in fact, segregated on the basis of race, color, religion, or national origin because of habit, local custom, or any other reason.

The Subcontractor further agrees that (except where it has obtained for specific time periods) it will obtain identical certification from proposed subcontractors prior to the award of subcontracts exceeding $10,000 which are not exempt from the provisions of the equal opportunity clause; that it will retain such certifications in its files; and that it will forward the preceding notice to such proposed subcontractors (except where proposed subcontractors have submitted identical certifications for specific time periods).

27. **CERTIFICATION OF COMPLIANCE WITH CLEAN AIR AND WATER ACTS** (Applicable to contracts exceeding $100,000)

The Subcontractor and all its subcontractors shall comply with the requirements of the Clean Air Act, as amended, 42 U.S.C. § 1857 et seq., the Federal Water Pollution Control Act, as amended, 33 U.S.C. § 1251 et seq., and the regulations of the Environmental Protection Agency with respect thereto, at 40 C.F.R. Part 15 and 32, as amended, Section 508 of the Clean Water Act (33 U.S.C. § 1368) and Executive Order 11738.

In addition to the foregoing requirements, all nonexempt contractors and subcontractors shall furnish to the owner, the following:
A. A stipulation by the Contractor or subcontractors, that any facility to be utilized in the performance of any nonexempt contract or subcontract, is not listed on the Excluded Party Listing System pursuant to 40 C.F.R. 32 or on the List of Violating Facilities issued by the Environmental Protection Agency (EPA) pursuant to 40 C.F.R. Part 15, as amended.

B. Agreement by the Subcontractor to comply with all the requirements of Section 114 of the Clean Air Act, as amended, (42 U.S.C. § 1857 c-8) and Section 308 of the Federal Water Pollution Control Act, as amended, (33 U.S.C. § 1318) relating to inspection, monitoring, entry, reports and information, as well as all other requirements specified in said Section 114 and Section 308, and all regulations and guidelines issued thereunder.

C. A stipulation that as a condition for the award of the contract, prompt notice will be given of any notification received from the Director, Office of Federal Activities, EPA, indicating that a facility utilized, or to be utilized for the contract, is under consideration to be listed on the Excluded Party Listing System or the EPA List of Violating Facilities.

D. Agreement by the Subcontractor that he will include, or cause to be included, the criteria and requirements in paragraph (A) through (D) of this section in every nonexempt subcontract and requiring that the Subcontractor will take such action as the government may direct as a means of enforcing such provisions.

28. LOBBYING (Applicable to contracts exceeding $100,000)

The Subcontractor certifies, to the best of his or her knowledge and belief, that:

(1) No Federal appropriated funds have been paid or will be paid, by or on behalf of the Subcontractor, to any person for influencing or attempting to influence an officer or employee of an agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with the awarding of any Federal contract, the making of any Federal grant, the making of any Federal loan, the entering into of any cooperative agreement, and the extension, continuation, renewal, amendment, or modification of any Federal contract, grant, loan, or cooperative agreement.

(2) If any funds other than Federal appropriated funds have been paid or will be paid to any person for influencing or attempting to influence an officer or employee of any agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with this Federal contract, grant, loan, or cooperative agreement, the Contractor shall complete and submit Standard Form-LLL, “Disclosure Form to Report Lobbying,” in accordance with its instructions.
(3) The Subcontractor shall require that the language of this certification be included in the award documents for all subawards at all tiers (including subcontracts, subgrants, and contracts under grants, loans, and cooperative agreements) and that all subrecipients shall certify and disclose accordingly.

This certification is a material representation of fact upon which reliance was placed when this transaction was made or entered into. Submission of this certification is a prerequisite for making or entering into this transaction imposed by section 1352, title 31, U.S. Code. Any person who fails to file the required certification shall be subject to a civil penalty of not less than $10,000 and not more than $100,000 for each such failure.

29. **BONDING REQUIREMENTS**

(Applicable to construction and facility improvement contracts exceeding $100,000)

The Subcontractor shall comply with VIHFA bonding requirements, unless they have not been approved by HUD, in which case the Contractor shall comply with the following minimum bonding requirements:

(1) *A bid guarantee from each bidder equivalent to five percent of the bid price.* The “bid guarantee” shall consist of a firm commitment such as a bid bond, certified check, or other negotiable instrument accompanying a bid as assurance that the bidder will, upon acceptance of his bid, execute such contractual documents as may be required within the time specified.

(2) *A performance bond on the part of the Contractor for 100 percent of the contract price.* A “performance bond” is one executed in connection with a contract to secure fulfillment of all the Contractor’s obligations under such contract.

(3) *A payment bond on the part of the Contractor for 100 percent of the contract price.* A “payment bond” is one executed in connection with a contract to assure payment as required by law of all persons supplying labor and material in the execution of the work provided for in the contract.

30. **SECTION 3 OF THE HOUSING AND URBAN DEVELOPMENT ACT OF 1968** (As required by applicable thresholds)

A. The work to be performed under this contract is subject to the requirements of section 3 of the Housing and Urban Development Act of 1968, as amended, 12 U.S.C. § 1701u (section 3). The purpose of section 3 is to ensure that employment and other economic opportunities generated by HUD assistance or HUD-assisted projects covered by section 3, shall, to the greatest extent feasible, be directed to low- and very low-income persons, particularly persons who are recipients of HUD assistance for housing.
B. The parties to this contract agree to comply with HUD’s regulations in 24 C.F.R. part 135, which implement section 3. As evidenced by their execution of this contract, the parties to this contract certify that they are under no contractual or other impediment that would prevent them from complying with the part 135 regulations.

C. The Subcontractor agrees to send to each labor organization or representative of workers with which the Subcontractor has a collective bargaining agreement or other understanding, if any, a notice advising the labor organization or workers’ representative of the Contractor’s commitments under this section 3 clause, and will post copies of the notice in conspicuous places at the work site where both employees and applicants for training and employment positions can see the notice. The notice shall describe the section 3 preference, shall set forth minimum number and job titles subject to hire, availability of apprenticeship and training positions, the qualifications for each; and the name and location of the person(s) taking applications for each of the positions; and the anticipated date the work shall begin.

D. The Subcontractor agrees to include this section 3 clause in every subcontract subject to compliance with regulations in 24 C.F.R. part 135, and agrees to take appropriate action, as provided in an applicable provision of the subcontract or in this section 3 clause, upon a finding that the subcontractor is in violation of the regulations in 24 C.F.R. part 135. The Subcontractor will not subcontract with any subcontractor where the Subcontractor has notice or knowledge that the subcontractor has been found in violation of the regulations in 24 C.F.R. part 135.

E. The Subcontractor will certify that any vacant employment positions, including training positions, that are filled: (1) after the subcontractor is selected but before the contract is executed, and (2) with persons other than those to whom the regulations of 24 C.F.R. part 135 require employment opportunities to be directed, were not filled to circumvent the Subcontractor’s obligations under 24 C.F.R. part 135.

F. Noncompliance with HUD’s regulations in 24 C.F.R. part 135 may result in sanctions, termination of this contract for default, and debarment or suspension from future HUD assisted contracts.

G. With respect to work performed in connection with section 3 covered Indian housing assistance, section 7(b) of the Indian Self-Determination and Education Assistance Act (25 U.S.C. § 450e) also applies to the work to be performed under this contract. Section 7(b) requires that to the greatest extent feasible: (i) preference and opportunities for training and employment shall be given to Indians, and (ii) preference in the award of contracts and subcontracts shall be given to Indian organizations and Indian-owned Economic Enterprises. Parties to this contract that are subject to the provisions of section 3 and section 7(b) agree to
comply with section 3 to the maximum extent feasible, but not in derogation of compliance with section 7(b).

31. **FAIR HOUSING ACT**

Subcontractor shall comply with the provisions of the Fair Housing Act of 1968 as amended. The act prohibits discrimination in the sale or rental of housing, the financing of housing or the provision of brokerage services against any person on the basis of race, color, religion, sex, national origin, handicap or familial status. The Equal Opportunity in Housing Act prohibits discrimination against individuals on the basis of race, color, religion, sex or national origin in the sale, rental, leasing or other disposition of residential property, or in the use or occupancy of housing assisted with Federal funds. Please visit [http://portal.hud.gov/hudportal/documents/huddoc?id=DOC_11868.pdf](http://portal.hud.gov/hudportal/documents/huddoc?id=DOC_11868.pdf) for more information.