PROFESSIONAL SERVICES CONTRACT

This CONTRACT (hereinafter referred to as the “Contract”) is made this 13th day of December, 2019, in the Territory of the United States Virgin Islands, by and between the VIRGIN ISLANDS HOUSING FINANCE AUTHORITY, a body corporate and politic constituting a public corporation and autonomous governmental instrumentality of the Government of the Territory of the United States Virgin Islands, whose address is 3202 Demarara Plaza, Suite 200, St. Thomas, U.S. Virgin Islands 00802-6447 (hereinafter referred to as “VIHFA”) and TETRA TECH, INC., a foreign corporation registered to do business in the U.S. Virgin Islands, whose address is 2301 Lucien Way, Suite 120, Maitland FL 32751 (hereinafter referred to as the “Contractor”). Contractor and the VIHFA may sometimes hereinafter be collectively referred to as the “Parties” and individually as a “Party.”

WITNESSETH:

WHEREAS, on September 6, 2017 and on September 19, 2017 Hurricane(s) Irma and Maria (2017 disasters) had a devastating impact on the United States Virgin Islands and caused significant destruction to housing, infrastructure, and the economy; and

WHEREAS, as a result of the 2017 disasters, the VIHFA has also been designated as the lead agency for administering the Community Development Block Grant Disaster Recovery (“CDBG-DR”), which is authorized under the Additional Supplemental Appropriations for Disaster Relief Requirements Act of No. 2017, Public Law (P.L.) 115-56, and the Further Additional Supplemental Appropriations for Disaster Relief Requirements Act of 2018, P.L. 115-123; and

WHEREAS, the U.S. Department of Housing and Urban Development (HUD) awarded $1,863,742,000.00 of CDBG-DR funds to the U.S. Virgin Islands in two separate tranches. On, February 2, 2018, the first $242,684,000 was announced for Tranche 1 and on April 10, 2018, an additional $779,217,000 Tranche 2; and

WHEREAS, HUD announced the award on September 10, 2019 of an additional $774,188,000 of CDBG-MIT funds to the U.S. Virgin Islands; and

WHEREAS, under Public Law (P.L.) 115-56, and the Further Additional Supplemental Appropriations for Disaster Relief Requirements Act of 2018, P.L. 115-123, HUD the awarded CDBG-DR funds must be used for the purpose of assisting in addressing unmet needs from the 2017 disasters; and

WHEREAS, on October 8, 2019 the VIHFA issued a Request for Proposals, RFP 001-2020-DR-STT/STX to solicit proposals from qualified and licensed firms (“Respondents”) to develop action plan(s), any amendments and implementation services (as requested) for the VIHFA’s CDBG-DR and CDBG-MIT Programs; and

WHEREAS, the Contractor submitted a proposal which VIHFA has accepted; and

WHEREAS, VIHFA selected the Contractor to develop action plan(s), any deliverables, services, amendments and implementation (as requested) as shown in the scope of work set forth in Section 1 of the Contract; and

Contractor’s Initials: [Signature]  
VIHFA Initials: [Signature]
WHEREAS, the VIHFA desires to enter into an agreement with the Contractor to develop action plan(s), any amendments and implementation services (as requested) for the VIHFA’s CDBG-DR and CDBG-MIT Programs;

NOW, THEREFORE, in consideration of the mutual covenants herein contained, and intending to be legally bound by this written instrument, the parties hereto covenant and agree as follows:

1. SCOPE OF WORK:

The services required hereunder shall consist of the tier 1 services (Tier 1 Services) and the tier 2 services (Tier 2 Services) as identified in this section of the Contract. Tier 1 Services are those major services described below that are necessary to provide the fundamental components the VIHFA must submit to HUD by April 6th, 2020 in accord with the applicable Federal Register Notice. Tier 2 Services are those services described herein which must be accomplished in support of the Tier 1 Services or may be accomplished subsequent to completion of the Tier 1 Services. The Contractor shall perform, or cause to be performed, and the VIHFA shall cooperatively and supportively assist the Contractor in providing the following Tier 1 Services and Tier 2 Services:

The Tier 1 Services are as follows:

a) Developing a Hazard Mitigation Action Plan in accordance with the HUD Federal Register Notices for CDBG-MIT.

b) Developing a disaster recovery strategy (both pre- and post) to be inclusive of preparedness or response plans, as required.

c) Developing an Electrical Grid Action Plan in the time frame established in the forthcoming HUD Federal Register Notice for enhanced or improved electrical power systems.

The Tier 2 Services are as follows:

a) Modifying the current action plan and amendments as required to adjust, revise or correct allocation information, underlying assumptions and/or project development process as needed. The parties hereto expressly agree that any such work shall be considered an Tier 2 Service and therefore the compensation for such shall be limited to the amount budgeted in Exhibit ‘A’ and that any work beyond that budgeted shall be considered additional Tier 2 Service, and therefore the compensation for such additional work shall be determined through a specific Task Order as defined herein.

b) Reviewing, analyzing and summarizing data about previous occurrences and probability of natural hazards faced by the Territory, including identifying community assets at risk (including citizens, economy, structures, critical facilities, infrastructure and the natural environment).

c) Guiding the VIHFA in the planning process of gathering the necessary information from local governmental agencies and non-governmental agencies.

Contractor’s Initials: ___________________________ VIHFA Initials: ___________________________
d) Engaging with agencies and organizations, within and outside the Territorial government structure for data collection and reviewing information on the Territory’s hazard mitigation capabilities.

e) Engaging with community members and providing public forums as needed for input on drafted plans.

f) Developing projects/activities with a strategy of reducing risks to life and property and provide greater sustainability against future disasters.

g) Linking the hazard mitigation and disaster recovery strategy to mitigation action implementation with the proposed grant funding in an Action Plan to be evaluated and used by the U.S. Department of Housing and Urban Development (HUD).

h) Provide support to VIHFA in updating the Geographic Information Systems (GIS) database and mapping the information identified in the Risk Assessment and/or supplement any current GIS database. The parties hereto expressly agree that the amount of work Contractor shall be required to perform in providing GIS services and deliverables shall be limited to the amount budgeted in Exhibit “A” and that any work beyond that budgeted shall be considered an additional Tier 2 Service, and therefore the compensation for such additional work shall be determined through a specific Task Order as defined herein.

i) Providing work products and guidance that meets the requirements set by all applicable rules and regulations.

Implementation Phase

a) Providing programmatic coordination for implementation of federal requirements as outlined in the Action Plan(s) and projects identified as needed.

b) Coordinating public outreach and community engagement for the public participation process as required; including, but not limited to, open forums and workshops as may be needed.

c) Building staff capability through delivery of technical assistance and training of staff.

d) During the contract period, the contractor will be responsible for:

   (i) Providing services to the entire Territory including but not limited to central, autonomous, and semi-autonomous agencies.

   (ii) Conducting analysis and review in a professional manner.

   (iii) Performing all tasks on time based on an agreed upon schedule.

Contractor’s Initials: ___________________________  VIHFA Initials: ___________________________
(iv) Providing quality assurance in strict accordance with all legally applicable industry standards.

(v) Submitting invoices reflecting staff name, task performed, hours, etc. in a timely manner along with all supporting documentation required.

(vi) Providing all relevant information and collected data to VIHFA for its records, on a regular basis, as agreed upon by the parties, or upon request by VIHFA.

**Development Stage Deliverables**

The Deliverables required hereunder shall consist of the tier 1 deliverables (Tier 1 Deliverables) and the tier 2 deliverables (Tier 2 Deliverables) as identified in this section of the Contract. Tier 1 Deliverables are those major deliverables described below that provide the fundamental components the VIHFA must submit to HUD by April 6th, 2020 in accord with the applicable Federal Register Notice. Tier 2 Deliverables are those deliverables described herein which must be accomplished in support of the Tier 1 Deliverables or may be accomplished subsequent to completion of the Tier 1 Deliverables.

1) **The Tier 1 Deliverables are as follows:**

   a) Mitigation Needs Assessment: Provide a template for the assessment and perform the assessments along with VIHFA to identify the hazards, vulnerability, and goals to be incorporated into the action plan.

   b) Hazard Mitigation Action Plan: Assist the VIHFA in the development of an approved plan that will identify the legally applicable actions, projects, policies and procedures. The Plan is to be submitted no later than April 6, 2020 per the CDBG-MIT published notice, unless an extension of that time is mutually agreed upon by VIHFA and Contractor and approved by HUD if applicable. Additionally, the plan must also address the method and scheduling of monitoring, evaluating, and updating the mitigation plan within 5 years. The Action Plan will have to meet the expenditure and compliance deadlines within the applicable Federal Notices.

   c) Disaster Recovery Strategy (Pre- and Post): Assist the VIHFA in developing a pre- and post-disaster recovery strategy.

   d) Electrical Grid Action Plan: Assist the VIHFA in the development of an approved plan that will identify the actions, projects, and procedures necessary to satisfy the requirements of the forthcoming Federal Register Notice pertaining to funding of electrical system improvements applicable to the USVI.

   e) Citizen Participation Plan: Update citizen participation plan to include specific outreach actions designed to mitigate risks arising from public pressure and a lack of broad community input in the identification of mitigation needs within 120 days of execution of the grant agreement.
2) The Tier 2 Deliverables are as follows:

a) Assist the VIHFA in developing and/or updating policies and procedures for applicable projects, programs, etc.

b) Develop and keep records of relevant assumptions used to develop the Action Plan(s), and any amendments.

c) Amendments: Assist the VIHFA in the development of subsequent amendments to the approved CDBG-MIT Action Plan.

3) GIS Database: Update the GIS database based on the data received from the risk assessments and other source(s) as needed.

4) Capabilities Assessment: Document existing authorities, policies, programs, and resources related to hazard mitigation, and the ability to expand on and improve these existing tools.

5) Project Schedule: Include a detailed preliminary design schedule incorporating all anticipated milestone dates, meetings, and document review periods.

6) Progress Report: Prepare weekly project status reports to include a narrative description of the progress of work and major tasks completed, budget status, schedule overview, and significant issues with recommendations on any unresolved matters.

7) Assist the VIHFA with holding public meetings to engage the public for input on the various action plans in addition to documenting the feedback. These meetings shall include but are not limited to convening at least three public hearings in the HUD-identified MID areas to obtain citizens' views and to respond to proposals and questions per FRN-6109-N-02.

8) Assist the VIHFA in the development of future Amendments to the March 1, 2019 Action Plan, as requested and needed.

9) Contractor shall also fulfill any additional task(s) (the scope and cost of which must be mutually agreed upon between Contractor and VIHFA) through a task order or additional project assignment (collectively defined herein as a “Task Order”) to assist the VIHFA in successfully completing any requirements herein or those included in or applicable to prior, current or future federal register notices or other applicable legal standards. Additional tasks may also include any of the additional services described in Contractors proposal. Such Task Orders(s) shall be executed by VIHFA and Contractor, attached to Appendix “D” and shall thereby be incorporated into and made a part of this Contract for all purposes.

Implementation Stage Deliverables

The specific scope and description of all deliverables referenced herein must be mutually agreed upon and approved by VIHFA and Contractor:

Contractor’s Initials:  
VIHFA Initials:
1. Assist with applicable policies and procedures for the programs identified in the plan, as needed.

2. Assist with development of projects and agreements as needed.

3. Provide technical assistance to staff and subrecipients for compliance with programs and projects identified, as needed.

4. Provide recommendations on best practices for plan implementation, as needed.

VIHFA Responsibilities

1. During the contract period, VIHFA shall be responsible for:

   a) Assisting with coordinating meetings with the respective government and non-governmental entities;

   b) Working cooperatively and supportively with Contractor to plan, define, and complete the Hazard Mitigation and Disaster Recovery Plan and resulting Action Plan; and

   c) Working with Contractor to provide information needed to update the CDBG-DR Action Plan

Review of Deliverables

Deliverables will be submitted to the VIHFA in draft format. The VIHFA’s comments should be provided within seven (7) calendar days. Upon incorporation of revisions to the draft deliverables and one final resubmission to the VIHFA, the deliverables will be considered accepted.

2. TERM:

This Contract shall begin on the 13th day of December, 2019 and shall end on or about 12th day of December 2022. The VIHFA may elect to exercise the option for an additional three (3) year term, subject to Contractor’s satisfactory performance. VIHFA will exercise this option by providing Contractor written notice no less than sixty (60) days prior to the expiration of the first contract term.

3. COMPENSATION:

   (a) VIHFA, in consideration of satisfactory performance of the services described above, shall pay the Contractor as compensation for the Tier 1 and Tier 2 services rendered by Contractor to VIHFA in completion of the deliverables required to comply with the HUD federal register notice deadline of April 6th, 2020 (the “HUD Deadline”), an amount not to exceed One Million Twenty-Seven Thousand Three Hundred Dollars and 00/100 ($1,027,300.00) (the “Contract Amount”). The parties hereto agree that the Contract Amount was determined assuming the April 6th HUD deadline and understand that if any extension to the HUD Deadline is granted by HUD, such additional time will result in

Contractor’s Initials: [Signature] VIHFA Initials: [Signature]
increased costs for Contractor in terms of housing, travel and other expenses and additional staff time (the “Extension Costs”). The Extension Costs shall also include any costs incurred by Contractor in excess of the aggregate Contract Amount as described in Exhibit “A”. Therefore, notwithstanding anything herein to the contrary, the parties hereto agree that if HUD grants an extension beyond the April 6, 2020 deadline, any related Extension Costs shall be considered an increase to the Contract Amount, the cost of which must be mutually agreed upon pursuant to amendment to this Contract. Also, compensation for any additional Tier 2 services beyond the HUD Deadline or other services, not referenced herein under Paragraph 1 “Scope of Work”, and requested by VIHFA, must be mutually agreed upon by the parties hereto and memorialized in a Task Order a copy of which shall be attached to Appendix D and shall be incorporated into the Contract for all purposes herein. Contractor shall submit invoices monthly for work performed that conformed to the Tier 1 and Tier 2 services and deliverables as outlined in Appendix B or otherwise described in the Contract or subsequent Task Orders. Notwithstanding anything herein to the contrary, the parties hereto acknowledge and agree that the amount of work required by Contractor may exceed the amount of funding budgeted herein for the Electrical Grid Action Plan because the Federal Register Notice providing the scope and requirements of such Electrical Grid Action Plan have not yet been published and the extent of such requirements is unknown at this time and because the extent of previously performed analyses, data and previously developed plans is unknown. Therefore, the parties hereto agree to evaluate the requirements of the Electrical Grid Action Plan against the amount of compensation due to Contractor for such work when the applicable Federal Register Notice is eventually published.

(b) It is expressly understood and agreed that in no event shall the amounts to be paid by the VIHFA to the Contractor under this Contract exceed the rates and conditions made a part of this Contract unless expressly agreed to in writing through the Task Order process described herein or through an amendment to this Contract.

(c) VIHFA’s obligation to pay the Contractor for services performed under this Contract is contingent upon the availability of applicable disaster recovery funds. VIHFA shall be the final authority as to the availability of funds for this Contract and as to what constitutes “applicable funding” to complete this Contract. If any such funds are not made available for the Contract purpose, such event will not constitute a default by VIHFA. VIHFA will notify Contractor in writing at the earliest possible time if funds are not appropriated or available. Payment for services rendered under this Contract is not eligible for reimbursement from any other funding source.

4. REPRESENTATIONS, WARRANTIES, AND COVENANTS BY CONTRACTOR:

The Contractors represents, warrants, and covenants as follows:

(a) Contractor is a foreign limited liability company registered to do business in the U.S. Virgin Islands.

(b) Contractor will, during the term of this Contract, remain engaged in the business to develop action plan(s), any amendments and implementation services (as requested)
and Contractor remains in good standing and qualified to do business under the laws of the Territory, including maintenance at all times of a valid V.I. business license.

(c) Contractor will not cease doing business, dissolve or otherwise dispose of all or substantially all of Contractor's assets and will not voluntarily consolidate with or merge into any other entity or permit one or more other entities to consolidate with or merge into Contractor.

(d) Contractor has the power to execute, deliver and perform, and enter into the transactions contemplated by this Agreement, and has duly authorized the execution, delivery, and performance of this Agreement.

(e) The execution and delivery of this Agreement, the consummation of the transaction contemplated hereby and the fulfillment or compliance with the terms and conditions of this Agreement do not and will not conflict with or result in a breach of any of the terms, conditions, or provisions of any legal restrictions or any agreement or instrument to which the Contractor is now a party or by which Contractor is bound or constitute a default under any of the foregoing.

(f) No information, statement, or report furnished in writing by the Contractor in connection with the negotiation of, or performance under, this Agreement and the consummation of the transactions contemplated hereby, contains any material misstatement of fact or omits to state a material fact that would make the information, statement or report misleading.

(g) That Contractor has obtained all the applicable licenses or permits, temporary or otherwise, as required by Title 27 of the Virgin Islands Code; and familiarized itself with the applicable provisions of Title 27 of the Virgin Islands Code pertaining to professions and occupations.

5. CONTRACTOR RESPONSIBILITY:

Contractor shall supervise and direct the work of its employees and subcontractors. Contractor agrees to maintain the professional standards applicable to its profession.

Contractor shall be responsible for its performance and that of its Subcontractors and Vendors.

Unless otherwise specified in this Contract, the Contractor will provide for and/or pay for all labor, materials, equipment, tools, machinery, transportation, and other goods, facilities, and services necessary for the proper execution and completion of the work in this Contract.

6. APPROVAL OF KEY PERSONNEL:

VIHFA and the Program Manager reserve the right of final approval of all Contractor's key project management personnel prior to assignment to the project. The Contractor shall provide the following key personnel, as identified in its proposal, for the term of this contract:

Contractor's Initials: [Signature] VIHFA Initials: [Signature]
During the execution of the project, VIHFA and the Program Manager reserve the right to request removal of Contractor’s key personnel for lack of performance or for any inappropriate or offensive behavior, as reasonably and justly defined and determined by VIHFA.

7. SUBSTITUTION OF KEY PERSONNEL:
Contractor's key personnel assigned to this Contract, listed in the response to the RFP, may not be replaced without the written consent of the VIHFA. Such consent shall not be unreasonably withheld or delayed provided an adequately qualified replacement is offered. In the event that any employee of Contractor becomes unavailable due to the resignation, illness, or other factors outside of Contractor’s control, Contractor shall be responsible for providing an adequately qualified replacement. Contractor shall provide the resume and qualifications of any purposed substitution for key personnel.

The Contractor shall present to VIHFA and the Program Manager all subcontractors intended to be used on the project. Additionally, the Contractor shall provide its written agreement with subcontractors identified in its proposal. Any financial terms and personally identifying information (i.e. social security number) may be redacted from the production to VIHFA. No subcontractors will be allowed to join the project without the expressed written approval by VIHFA and the Program Manager which shall not be unreasonably withheld or delayed.

a. Contractor agrees to be responsible for all work performed and all expenses incurred with the project. If VIHFA permits Contractor to subcontract all or part of the work contemplated under this Contract, including entering into subcontracts with vendors for services and commodities, it is understood by Contractor that all such subcontract arrangements shall be evidenced by a written document subject to prior review and comment by VIHFA which shall not be unreasonably withheld or delayed. Such review of the written subcontract document by VIHFA will be limited to a determination of whether or not subcontracting is permissible and the inclusion of applicable terms and conditions of this Contract. Contractor further agrees that VIHFA shall not be liable to the subcontractor for any expenses or liabilities incurred under the subcontract and Contractor shall be solely liable to the subcontractor for all expenses and liabilities incurred under the subcontract. Contractor, at its expense, will reasonably defend VIHFA against such claims.

b. Contractor agrees that all Contractor employees, subcontractors, or agents performing work under the Contract shall be properly trained who meet or exceed
any specified training qualifications. Upon request, Contractor shall furnish a copy of technical certification or other proof of qualification. All employees, subcontractors, or agents performing work under the Contract must comply with all security and administrative requirements of VIHFA and shall have all current licenses and permits required for all of the particular work for which they were hired by the Contractor or shall be actively engaged in obtaining such licenses or permits needed for them to perform such work in a lawful manner. VIHFA may conduct, and Contractor shall cooperate in, a security background check or otherwise assess any employee, subcontractor, or agent furnished by Contractor. VIHFA may refuse access to, or require replacement of, any personnel for cause, including, but not limited to, technical or training qualifications, quality of work, change in security status, or non-compliance with VIHFA’s security or other requirements. Such refusal shall not relieve Contractor of its obligation to perform all work in compliance with the Contract.

8. PAYMENT PROCESS:

a. Invoices shall be submitted on a monthly basis to Ms. Antoinette Fleming, VIHFA Project Manager, (or her designee) at anfleming@vihfa.gov and brobinson@vihfa.gov

b. Compensation shall be invoiced as outlined in Appendix A. Full or partial payment will be made upon satisfactory completion of each invoice as accepted by the Project Manager within thirty (30) days of the receipt date of the invoice. VIHFA will notify Contractor of any disputed charges within fifteen (15) days of the receipt date of the invoice. Contractor and VIHFA will work in good faith to resolve any discrepancies within ten (10) days after notification. Should a discrepancy result in a partial rejection of any item(s) invoiced, the VIHFA shall proceed with partial payment within thirty (30) days of the receipt date of the invoice.

c. Indemnification: Should the Contractor, after receipt of payment of invoices from the VIHFA fail to pay in a timely manner all persons who have fulfilled their obligations to perform labor and/or furnish materials in the prosecution of the work provided for herein, including by way of example Contractor’s employees, subcontractors and furnishers of materials, machinery, equipment and fixtures, the Contractor agrees to indemnify VIHFA for said payment(s). Timely payments from Contractor to its Subcontractors and Vendors shall mean within seven (7) business days of receiving payment from VIHFA.

d. In the event that performance standards required herein are not being met by the Contractor, VIHFA will notify the vendor in writing of such performance deficiencies. Upon receipt of a written letter, the Contractor would be required to prepare and submit a proposal to VIHFA outlining ways to correct the problem and improve service. Once the proposal is submitted and accepted by the VIHFA, the Contractor would have thirty (30) days to improve its performance.

Contractor's Initials: ____________ VIHFA Initials: ____________
e. If VIHFA does not issue a payment of an invoice, through no fault of the Contractor, within fifteen (15) days after the date established in the Contract, then the Contractor may, upon three additional days’ notice to VIHFA, stop the Work until payment of the amount owing has been received.

9. **AMENDMENTS:**

   (a) In addition to the Task Order procedure described herein, the VIHFA may at any time with written notice to the Contractor, request changes within the scope of services of this Contract. Such changes must be mutually agreed upon between Contractor and VIHFA and may include modifications, additions or expansion of the contracted services. VIHFA may also amend this Contract to conform with federal, state, or local governmental guidelines, policies, and available funding amounts, or for other reasons mutually agreed upon between Contractor and VIHFA.

   (b) In addition to the Task Order procedure described herein, the Contractor may submit to the VIHFA a request for a change in the scope of work under this Contract. The Contractor will prepare a detailed written description of the change request and a proposed price of making such change. If VIHFA does not agree with the need for the change or with the Contractor’s proposed price, VIHFA reserves the right to decline its acceptance with no cost obligation incurred. If VIHFA agrees to the change, VIHFA will negotiate with the Contractor and agree to a reasonable price for the change. The Contractor will not be authorized to proceed until a properly executed Task Order or amendment is in place.

   (c) Amendments to this Contract must be in writing and must be signed by a duly authorized representative of the parties to this Contract. Such amendments shall not invalidate this Contract, nor relieve or release the parties from their obligations under this Contract.

   (d) Notwithstanding the preceding, the Project Manager(s) specified in Paragraph 6 and VIHFA may approve extensions to Deliverable Due Date(s) within the confines of the Performance Period.

10. **PROFESSIONAL STANDARDS:**

The Contractor will complete all work in accordance with standard practices in the industry and the work shall conform with any and all law and regulations which apply to the work being performed, whether or not explicitly covered in the Contract. Contractor warrants that the final product of Contractor’s work shall be fit for the purposes for which it is intended.

11. **ASSIGNMENT:**

The Contractor shall not sub-contract or assign any part of the services under this contract without the prior written approval of VIHFA.

Contractor’s Initials: Signature

VIHFA Initials: Signature
12. NON-DISCRIMINATION:

The Contractor shall not discriminate against any employee or applicant for employment because of race, color, religion, sex, or national origin. Such actions shall include but shall not be limited to the following: employment upgrading, demotion or transfer; recruitment or recruitment advertising; layoff or termination; rates of pay or other forms of compensation; and selection for training, including apprenticeship.

13. RETENTION OF RECORDS:

All records shall be retained for a minimum of three (3) years from the termination or expiration of this Contract, unless notified in writing to extend the retention period. Records shall be made available, without charge, for review within ten (10) days of a request by the VIHFA.

14. OWNERSHIP OF DATA:

VIHFA will retain ownership of any data, information or intellectual property furnished to Contractor in connection with this Agreement. VIHFA will own any relevant reports, data, or other information that results from the services to be performed, including without limitation GIS updates (in both machine-readable form and in source code form), raw data, and other information, used in the compilation of the Action Plan. Contractor shall provide information on a regular basis at no additional cost for the transmittal or upload of any requested data or information.

15. NON-DISCLOSURE:

Except for disclosure to VIHFA or its representatives, the reports, work papers and records, including information and data prepared or assembled by the Contractor under this Contract, shall be held confidential by the Contractor and shall not be made available or otherwise disclosed to any third party without the prior written approval of VIHFA unless required to disclose information by order of a court of competent jurisdiction or other administrative authority.

16. TERMINATION:

A. Termination/Suspension for Cause

Notwithstanding any other provision of this Contract, VIHFA may, after giving reasonable written notice specifying the effective date, may suspend or terminate this contract in whole or in part if Contractor materially fails to comply with any material term of this Contract, which shall include, but not be limited, to the following:

i. The violation of any of the material terms or conditions of this Contract.

ii. The making of any material misrepresentation by the Contractor in the furnishing of any information to the VIHFA.

iii. Repeated failure to comply with rules, regulations or provisions referred to herein, or such statutes, regulations, executive orders, and guidelines, policies or directives as may be applicable at any time;

Contractor's Initials: ____________________________  VIHFA Initials: ____________________________
iv. Failure, due to Contractor's negligence or willful misconduct, of Contractor to fulfill in a timely and proper manner the obligations under this Contract;

v. Continual submission by Contractor of reports to VIHFA or HUD, or their auditors, that are incorrect or incomplete in any material respect, provided Contractor is given notice of said failure and fails to correct the same within a reasonable amount of time; or

vi. Improper use of funds as provided for under this Contract. If, through any cause, Contractor shall otherwise fail to fulfill its obligations under this Contract in a timely and proper manner, or if Contractor shall violate any of the covenants or stipulations of this Contract, VIHFA shall thereupon have the right to terminate this Contract by giving reasonable written notice to Contractor of such termination and specifying the effective date thereof, at least thirty (30) days prior to the effective date of said termination. Contractor shall be paid for all authorized Services properly performed prior to termination.

B. Termination for Convenience

The continuation of this Contract is contingent upon the appropriation and release by HUD of disaster recovery funds to fulfill the requirements of this Contract. Failure of HUD to approve and provide an adequate budget to the VIHFA for fulfillment of the Contract terms shall constitute reason for termination for convenience of the Contract by either Party.

C. Termination Due to Unavailable Funding

VIHFA reserves the right to terminate the Contract if HUD disaster recovery funding becomes unavailable. Contractor shall be paid for all authorized Services properly performed prior to Termination plus reasonable termination and demobilization costs incurred.

17. PROCEDURE FOR TERMINATION:

VIHFA may terminate this Contract at any time by giving at least sixty (60) days prior written notice to the other Party. Contractor shall be entitled to payment for services performed up to the date of termination contained within the notice, to the extent that the services have been satisfactorily performed and are otherwise reimbursable under the terms of this Contract plus reasonable termination and demobilization costs incurred.

18. FORCE MAJEURE:

The Contractor shall not be held responsible for delay or default caused by fire, riot, acts of God, or war, if the event is beyond the Contractor's reasonable control and the Contractor gives notice to the VIHFA within a reasonable period of time upon occurrence of the event causing the delay or default or which is reasonably expected to cause a delay or default. The Parties shall use reasonable efforts to eliminate or minimize the effect of such events on their respective duties.

Contractor's Initials: ___________________________ VIHFA Initials: ___________________________
under the Contract. Contractor shall be entitled to an equitable adjustment in schedules and unit prices in the foregoing circumstances.

19. INSURANCE:

The Contractor represents and warrants that Contractor carries professional liability insurance in the amount usual and customary for work in the industry subject to normal deductibles and covenants that Contractor will maintain such coverage as provided in RFP 001-2020-DR-STT/STX during the term of this Contract. Contractor shall also secure Liability insurance covering acts, errors or omissions arising out of, or failure to render, professional services related to the Services under this Agreement. The Contractor’s professional liability insurance policy shall name the VIHFA as an “Additional Insured”. Evidence of public liability insurance shall be delivered to VIHFA within Ten (10) working days after the award.

Upon failure of the Contractor to furnish, deliver and maintain such insurance, this contract, at the election of the VIHFA may be suspended, discontinued or terminated. Failure of the Contractor to purchase and/or maintain any required insurance shall not relieve the Contractor from any liability or indemnification under the contract.

Contractor shall include all Subcontractors as insureds under its policies and shall be responsible for verifying and maintaining the certificates provided by each Subcontractor. Subcontractors shall be subject to all of the requirements contained herein. VIHFA reserves the right to request copies of Subcontractor’s certificates at any time.

20. INDEMNIFICATION:

The Contractor shall indemnify, defend, and hold harmless VIHFA, its property, consultants, employees, and the funding agency harmless from and against all claims, damages, losses, and expenses, direct, indirect or consequential, arising out of or resulting from the Contractor’s Work, or otherwise caused in whole or in part by any negligent act or omission of the Contractor, its subcontractor(s), any person or organization directly or indirectly employed by them to perform or furnish any of the Work or anyone for whose acts any of them may be liable, regardless of the negligence of such party.

21. FALSE CLAIMS:

The Contractor warrants that it shall not, with respect to this Contract, make or present any claim upon or against VIHFA. The Contractor acknowledges that making such a false, fictitious, or fraudulent claim(s) is an offense under the Virgin Islands law and federal law.

22. CONFLICT OF INTEREST:

The Contractor covenants that it has no interest and will not acquire any interest, direct or indirect, which would conflict in any manner or degree with the performance of services required to perform under this Contract.

Contractor’s Initials: [Signature] VIHFA Initials: [Signature]
23. **WARRANTY OF NON-SOLICITATION:**

The Contractor expressly warrants that it has not employed any person to solicit or obtain this contract on its behalf, or cause or procure the same to be obtained upon compensation in any way, contingent, in whole or in part, upon such procurement, and that it has not paid, or promised or agreed to pay to any person, in consideration of such procurement, or in compensation for services in connection therewith, any brokerage, commission, or percentage upon the amount receivable by it hereunder; and that it has not, in estimating the contract price demand, included any sum by reason of such brokerage, commission or percentage, and that all monies payable to it hereunder are free from obligation to any other person for services rendered, supposed to have been rendered, in the procurement of this contract. Breach of this warranty shall give VIHFA the right to terminate this Contract or, in its discretion, to deduct from the Contract Cost or consideration the amount of such commission, percentage, brokerage or contingent fees.

24. **INDEPENDENT CONTRACTOR:**

The Contractor shall perform this Contract as an independent contractor and nothing herein contained shall be construed to be inconsistent with this relationship or status. The Contractor shall be responsible for the supervision of Contractor’s employees, subcontractors, and authorized representatives, if any. All workers must be competent and skilled in their work.

25. **LIABILITY OF OTHERS:**

Nothing in this Contract shall be contained to impose any liability upon VIHFA, or to persons, firms, associations, or corporations engaged by the Contractor as servants, agents, independent contractors, or in any other capacity whatsoever, or to make VIHFA liable to any such persons, firms, associations or corporation for the acts, omissions, responsibilities, obligations and taxes of Contractor of whatsoever nature, including but not limited to unemployment insurance and social security taxes for the Contractor, its servants, agents or independent contractors.

26. **NOTICE:**

Any notices required or permits to be given under this Contract shall be deemed sufficiently given or served if sent by certified mail, return receipt requested to the parties at the following addresses:

**VIHFA:**
Virgin Islands Housing Finance Authority  
Daryl Griffith, Executive Director  
3202 Demarara Plaza, Suite 200  
St. Thomas, U.S. Virgin Islands 00802-6447  
Email: dgriffith@vihfa.gov

**With Copy To:**  
VIHFA CDBG-DR Division  
Attn: Antoinette Fleming, Director  
3202 Demarara Plaza, Suite 200,  
St. Thomas, U.S. Virgin Islands 00802-6447  
E-mail: anfleming@vihfa.gov

Contractor’s Initials: [Signature]  
VIHFA Initials: [Signature]
Contractor: Attn: Betty Kamara, Contract Administrator
Tetra Tech, Inc,
2301 Lucien Way, Suite 120
Maitland, FL 32751
Email: betty.kamara@tetratech.com

Either party may, by like notice, at any time and from time to time, designate different addresses to which notices shall be sent. Notices given in accordance with these provisions shall be deemed received when mailed.

27. TAXES:

Contractor is responsible for payment of all applicable federal and local Territorial taxes, including any taxes of any out-of-state employees who are currently assigned to this project and are working within the Territory.

(a) GROSS RECEIPT TAXES: Title 33 V.I.C. Ch.3, §44, as amended¹, requires VIHFA, when making a payment under this Contract, to deduct and withhold from such payments, gross receipts taxes as required by law at 33 V.I.C Section 43(a) for each payment for Work performed in the Virgin Islands. It is agreed between the Parties that for the purposes of complying with Title 33, Ch. 3, Section 44 of the Virgin Islands Code, VIHFA shall withhold and forward to the Virgin Islands Bureau of Internal Revenue (“VIBIR”) such amount as required by the law at 33 V.I.C Section 43(a) or any amendments thereto.

(b) The Contractor agrees that the calculation and payment of gross receipts taxes shall be its sole responsibility. VIHFA shall not be responsible in any way for any miscalculation, or additional assessments by the VIBIR resulting from Work performed under this Contract. In the unlikely event any overpayment or underpayment is made to the VIBIR, the Contractor shall resolve such matter with VIBIR and inform VIHFA of the resolution thereof.

28. VIHFA FURNISHED RESOURCES:

The VIHFA will provide specific project information to Contractor necessary to complete Services described herein. All records, reports, documents and other material delivered or transmitted to Contractor by the VIHFA shall remain the property of the VIHFA and shall be returned by Contractor to the VIHFA, upon request, at termination, expiration or suspension of this Contract.

¹(a) The Government of the Virgin Islands, including its instrumentalties, agencies and public corporations, when making a payment as defined under subsection (b) of this section, to any person, partnership, firm, corporation, or other business association that is subject to the payment of gross receipts tax under the provisions of this title, shall deduct and withhold from such payment gross receipts tax equal to four percent of such payment. Such tax shall be paid to the Virgin Islands Bureau of Internal Revenue within ten days of the last day of the calendar month during which such tax was withheld on forms to be provided by the Virgin Islands Bureau of Internal Revenue.
(b) For purposes of withholding under this section, “payment” is defined as the following:
   (1) any single payment of at least $30,000; and
   (2) any payment pursuant to a contract providing for a total expenditure of $225,000 or more.

Contractor’s Initials: [Signature] VIHFA Initials: [Signature]
All records, reports, documents, or other material or data, including electronic data, related to this Contract and/or obtained or prepared by Contractor, and all repositories and databases compiled or used, regardless of the source of information included therein, in connection with performance of the Services contracted for herein shall become the property of the VIHFA, and shall, upon request, be returned by Contractor to the VIHFA at termination or expiration of this Contract. Cost incurred by Contractor to compile and transfer information for return to the VIHFA shall be billed on a time basis, subject to the maximum amount of this Contract. Software and other materials owned by Contractor prior to the date of this Contract and not related to this Contract shall be and remain the property of Contractor.

29. FUND USE:

Contractor agrees not to use proceeds from this Contract to urge any elector to vote for or against any candidate or proposition on an election ballot nor shall such funds be used to lobby for or against any proposition or matter having the effect of law or is being considered by the Government of the U.S. Virgin Islands.

Contractor and all Subcontractors shall certify that they have complied with the Byrd Anti-Lobbying Amendment (31 U.S.C. 1352) and that they will not and have not used Federal appropriated funds to pay any person or organization for influencing or attempting to influence an officer or employee of any agency, a member of Congress, officer or employee of Congress, or an employee of a member of Congress in connection with obtaining any Federal contract, grant or any other award covered by 31 U.S.C. 1352. Contractor and each subcontractor shall also disclose any lobbying with non-federal funds that takes place in connection with obtaining any Federal award.

30. CONFIDENTIALITY OF DATA:

All financial, statistical, personal, technical and other data and information relating to the VIHFA’s operation, or gathered pursuant to the Scope of Work to be performed, which are designated confidential by the VIHFA or in the regular course of business, and made available to Contractor in order to carry out the Contract, or which become available to Contractor in carrying out the Contract, shall be protected by Contractor from unauthorized use and disclosure through the observance of the same or more effective procedural requirements as are applicable to the VIHFA. The identification of all such confidential data and information as well as the VIHFA’s procedural requirements for protection of such data and information from unauthorized use and disclosure shall be provided by the VIHFA in writing to Contractor. If the methods and procedures employed by Contractor for the protection of Contractor’s data and information are deemed by the VIHFA to be adequate for the protection of VIHFA’s or applicant’s confidential information, such methods and procedures may be used, with the written consent of the VIHFA, to carry out the intent of this paragraph. Contractor shall not be required under the provisions of this paragraph to keep confidential any data or information which is or becomes publicly available, is already rightfully in Contractor’s possession, is independently developed by Contractor outside the scope of this Contract, is obtained from other public agencies, or is rightfully obtained from third parties.

All of the reports, information, and data prepared or assembled by Contractor under this Contract are confidential and Contractor agrees that they shall not be made available to any individual or

Contractor’s Initials: [Signature] VIHFA Initials: [Signature]
organization without the prior written approval of the VIHFA or applicant to the CDBG-DR Housing Program. This does not extend to information that was obtained from the public domain such as public agencies or sources of information available to the general public.

i. Contractor must implement procedures to ensure the protection and confidentiality of all data, files, and records involved with this Contract.

ii. Except as necessary to fulfill the terms of this Contract and with the permission of VIHFA, Contractor shall not divulge to third parties any confidential information obtained by Contractor or its agents, distributors, resellers, subcontractors, officers, or employees in the course of performing contract work, including, but not limited to, security procedures, business operations information, or commercial proprietary information in the possession of the Territory or VIHFA.

iii. No member, officer, or employee of Contractor, or agents, Subcontractor, member of the governing body of Contractor or the locality in which the program is situated, or other public official who exercises or has exercised any functions or responsibilities with respect to this Contract during his or her tenure agrees not to use or disclose any information concerning a recipient of services under this Contract for any purpose not in conformity with state and Federal law or regulations except upon written consent of the recipient, or his responsible parent or guardian when authorized by law, if applicable.

iv. Contractor shall notify VIHFA in writing of any disclosure of unsecured confidential information of VIHFA by Contractor, its employees, agents or representatives which is not in compliance with the terms of the Contract (of which it becomes aware). Contractor also shall report to VIHFA any Security Incidents of which it becomes aware, including those incidents reported to Contractor by its sub-contractors or agents. For purposes of this Contract, “Security Incident” means the attempted or successful unauthorized access, use, disclosure, modification, or destruction of VIHFA’s or applicant’s information in Contractor’s possession or electronic interference with VIHFA operations; however, random attempts at access shall not be considered a security incident. Contractor shall make a report to VIHFA not more than seven (7) business days after Contractor learns of such use or disclosure. Contractor’s report shall identify, to the extent known: (i) the nature of the unauthorized use or disclosure, (ii) the confidential information used or disclosed, (iii) who made the unauthorized use or received the unauthorized disclosure, (iv) what Contractor has done or shall do to mitigate any deleterious effect of the unauthorized use or disclosure, and (v) what corrective action Contractor has taken or shall take to prevent future similar unauthorized use or disclosure. Contractor shall provide such other information, including a written report, as reasonably requested by VIHFA’s Information Security Manager.

31. COPYRIGHT:

No materials, to include but not limited to reports, maps, or documents produced as a result of this Contract, in whole or in part, shall be available to Contractor for copyright purposes. Any
such material produced as a result of this Contract that might be subject to copyright shall be the property of the VIHFA and all such rights shall belong to the VIHFA.

32. WORKER’S COMPENSATION:

Contractor shall maintain Workers Compensation insurance as required by law.

33. GOVERNING LAW AND VENUE:

This Contract shall be governed by the laws of the United States Virgin Islands and venue for any action between the VIHFA and Contractor which relates to this Contract shall be in the United States Virgin Islands.

34. SEVERABILITY:

If any provision(s) of this Contract shall be held to be invalid, illegal, unenforceable or in conflict with the law of the United States Virgin Islands, it shall be regarded as stricken and the validity, legality and enforceability of the remaining provisions shall not in any way be affected or impaired.

35. ENTIRE AGREEMENT:

This Contract and any attachments thereto constitute the entire agreement between the parties hereto and all prior understandings or communications, written or oral, with respect to the project that is the subject of this Contract are merged herein. In the event of a conflict or inconsistency between any of the Contract Documents, the conflict or inconsistency shall be resolved by giving precedence in the following order:

1. This Contract (including additional Task Orders) and all amendments; then
2. Exhibits and Attachments hereto; then
3. The RFP and any Addenda hereto; and then
4. Terms of Contractor’s proposal.

36. COUNTERPARTS:

This Contract may be signed in counterparts, each of which will be deemed an original.
IN WITNESS WHEREOF, the parties intending to be legally bound hereby, caused these presents to be executed as of the day and date first above written.

WITNESSES:

[Signature (1)]
Betty Kamara, Contracts Administrator
Print Name (1)

[Signature (2)]
Ralph Natale, Director, Post Disaster Programs
Print Name (2)

ACKNOWLEDGMENT

[Signature]
STATE OF  [Florida]  ss:
COUNTY OF  [Orange]  ss:

On this 23rd day of December, 2019, before me, the undersigned officer, personally appeared  [Signature], who acknowledged himself to be the person whose name is subscribed to the within trust agreement, being authorized to so do, executed the foregoing instrument for the purposes therein contained by signing the name of Tetra Tech, Inc.

IN WITNESS WHEREOF, I hereunto set my hand and official seal.

(SEAL)

Notary Public
Commission Expires: 5/10/22

Reviewed for Legal Sufficiency:

[Signature]
Denise Rhymer, Esq.
Dated: 27th day of December, 2019

Contractor’s Initials: [Signature]  VIHFA Initials: [Signature]
WITNESSES:

[Signature 1]
[Print Name 1]

[Signature 2]
[Print Name 2]

ACKNOWLEDGMENT

TERRITORY OF THE VIRGIN ISLANDS )

DISTRICT OF ST. CROIX ) ss:

On this 8th day of January, 2020, before me, the undersigned officer, personally appeared DARYL GRIFFITH, who acknowledged himself to be the Executive Director of the Virgin Islands Housing Finance Authority, being authorized to so do, executed the foregoing instrument for the purposes therein contained by signing the name of the Authority as Executive Director.

IN WITNESS WHEREOF, I hereunto set my hand and official seal.

(SEAL)

Notary Public
Commission Expires:

Flavia E. Logle, Esq.
Commission Exp. October 19, 2022
LNP-88-18

Contractor’s Initials: [Signature]

VIHFA Initials: [Signature]
EXHIBITS AND ATTACHMENTS

Appendix A. Pricing Schedule
Appendix B. Key Deliverables
Appendix C. HUD Provisions
Appendix D. Task Orders
Exhibit 1. Virgin Islands Business License
Exhibit 2. Insurance Certificates (Worker's Compensation & Liability Insurance)
Exhibit 3. Debarment and Suspension Certification
## APPENDIX A: PRICING SCHEDULE

### Section 1 - Development Phase

<table>
<thead>
<tr>
<th>Position</th>
<th># of Staff</th>
<th>Hourly Rate</th>
<th>Estimated Hours per Week</th>
<th>Estimated Timing (# of weeks)</th>
<th>Estimated Total</th>
</tr>
</thead>
<tbody>
<tr>
<td>Principal-in-Charge</td>
<td>$</td>
<td>$</td>
<td>$</td>
<td>$</td>
<td>$</td>
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<tr>
<td>Project Manager</td>
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<td>$</td>
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<tr>
<td>Hazard Mitigation Planner</td>
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<tr>
<td>Engineer (as needed)</td>
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<tr>
<td>Data Analyst</td>
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<tr>
<td>GIS Analyst</td>
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<tr>
<td>Planning and Policy Support</td>
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<tr>
<td>Environmental Specialist</td>
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<tr>
<td>Infrastructure Specialist</td>
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<tr>
<td>SME Electrical Grid</td>
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<tr>
<td>Other Personnel (Please itemize on a separate sheet) [1]</td>
<td>See Below</td>
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<tr>
<td>Subtotal</td>
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<td>$</td>
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</tbody>
</table>

### Section 2 - Coordination/Implementation Phase

<table>
<thead>
<tr>
<th>Position</th>
<th># of Staff</th>
<th>Hourly Rate</th>
<th>Estimated Hours per Week</th>
<th>Estimated Timing (# of weeks)</th>
<th>Estimated Total</th>
</tr>
</thead>
<tbody>
<tr>
<td>Principal-in-Charge</td>
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<td>Engineer (as needed)</td>
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<tr>
<td>GIS Analyst</td>
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<tr>
<td>Planning and Policy Support</td>
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</table>
Contractor shall invoice for the items outlined above as follows, not to exceed the total amount per the Project Budget.

a) Section 1 and 2: Personnel costs to be billed on an hourly basis x rate (per personnel)

Documentation: Timesheets indicating Name of Person, Title/Labor category, hours worked (by day), bill rate and description of work provided (to potentially include applicant identifier) and deliverables

b) Section 3: Operating Costs to be billed as actual costs incurred.

Contractor’s Initials: [Signature]  
VIHFA Initials: [Signature]
Documentation: Invoices & other supporting documentation to demonstrate actual costs incurred.

c) Section 3: Travel and Housing Costs to be invoiced monthly and will be substantiated by adequate receipts to support invoices. No additional costs related to travel, housing or per diems will be reimbursed to the Contractor that exceed the respective cost per line item.

Documentation: Invoice based on monthly basis with adequate receipts and documentation to support costs.

*Note: Any additional allowance of services for Tetra Tech services will be invoiced to VIHFA upon support, modification, or additional development requests from VIHFA. Only approved tasks by VIHFA may be invoiced as part of this section of the price estimate. Any work under this Section will be invoiced based upon hours worked by staff and the hourly rates agreed to with VIHFA. Hours presented are only for comparison and costing purposes only. Actual hours will be based on the need determined by VIHFA and should not be interpreted to guarantee any minimum amount of hours; but cannot exceed the referenced hours denoted.
APPENDIX B:
DELIVERABLES
AS DESCRIBED IN PROPOSAL

<table>
<thead>
<tr>
<th>Key Deliverable</th>
<th>Tetra Tech</th>
</tr>
</thead>
<tbody>
<tr>
<td><strong>Mitigation Needs Assessment:</strong> Provide a template for the assessment and perform the assessment along with VIHFA to identify the hazards, vulnerability, and goals to be incorporated into the Action Plan.</td>
<td>Tetra Tech will provide a professionally prepared, formatted, and easy-to-navigate Action Plan, including all applicable and required sections of a HUD compliant CDBG-MIT Action Plan to meet the April 6, 2020 deadline. These will include at a minimum the items in the bullets listed below, and an Executive Summary, discussion of conditions in the MID area, sources of funding to be leveraged, a clear list of projects and implementation steps, a list of Covered Projects, and appendices and exhibits containing graphs, charts, and other data as needed. Brief introduction, including context for and description of the need for the CDBG-MIT Action Plan. This will include a description of the planning process and documentation of all public involvement. A method for scheduling, monitoring, evaluating, and updating the mitigation plan within 5 years will be included in the plan. Tetra Tech also will include expenditure and compliance deadlines within the applicable Federal Notices. Capabilities Assessment documenting existing authorities, policies, programs, etc., and recommendations for any revisions, technical assistance, etc. needed to improve the efficacy of these systems. A Mitigation Needs Assessment Template along with the methodologies used to develop the assessment and the role of the VIHFA in the assessment to identify the hazards, vulnerability, and goals to be incorporated into the Action Plan. A list of identified policies and procedures that need to be updated to improve the processing and implementation of projects and programs along with the recommended updates. A recommended strategy and implementation of that strategy in the plan for how CDBG-MIT projects are aligned with other mitigation projects funded by FEMA, USACE, U.S. Forest Service, and other agencies as appropriate. An updated GIS database based on the data received from the risk assessments performed to create the CDBG-MIT Action Plan.</td>
</tr>
<tr>
<td><strong>Hazard Mitigation Action Plan:</strong> Assist the VIHFA in the development of an approved plan that will identify the actions, projects, policies, and procedures. The plan is to be submitted no later than April 6, 2020, per the CDBG-MIT published notice. Additionally, the plan must also address the method and scheduling of monitoring, evaluating, and updating the mitigation plan within 5 years. The Action Plan will have to meet the expenditure and compliance deadlines within the applicable Federal Notices.</td>
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<tr>
<td><strong>GIS Database:</strong> Update the GIS database based on the data received from the risk assessments and other source(s) as needed.</td>
<td></td>
</tr>
<tr>
<td><strong>Capabilities Assessment:</strong> Document existing authorities, policies, programs, and resources related to hazard mitigation, and its ability to expand on and improve these existing tools.</td>
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<tr>
<td><strong>Electrical Grid Action Plan:</strong> Assist the VIHFA in the development of an approved plan that will identify the actions, projects, and procedures necessary to satisfy the requirements of the forthcoming Federal Notice.</td>
<td>Tetra Tech will deliver an Electrical Grid Action Plan including all applicable and required sections to comply with forthcoming Federal Register Notice that meets required timeframes. This will include at a minimum the actions, projects, and procedures necessary to satisfy the requirements of the forthcoming Federal Notice. This Action Plan also will serve as a road map to conduct the needed work to implement mitigation actions to protect against future disasters. The plan will contain documentation of FEMA, local and regional information and grid diagrams, results of site inspections and site visits, and a GIS database encompassing these items and weather/climate data. The plan will include monitoring indicators for load shedding, generated electricity, consumed electricity, transmission system technical losses, capacity utilization factor of transmission and distribution lines, factors of substations, transmission throughput capacity added, and transmission substation capacity added. The plan will include a detailed technical requirement document listing all design standards requirements for construction of the recommended grid projects. This plan also will</td>
</tr>
</tbody>
</table>

Contractor's Initials: [Signature]  
VIHFA Initials: [Signature]
<table>
<thead>
<tr>
<th>Citizen Participation Plan: Update Citizen Participation Plan to include specific outreach actions designed to mitigate risks arising from public pressure and a lack of broad community input in the identification of mitigation needs within 120 days of execution of the grant agreement.</th>
<th>All three documents above will contain Citizen Participation Plans and description of its implementation, identifying the specific outreach actions designed to address risks arising from public pressure and a lack of broad community input in the identification of mitigation needs. These plans will be provided in their entirety to the VIHFA within 120 days of the execution of the grant agreement (unless HUD grants an applicable deadline extension), apart from the Electrical Grid Citizen Participation Plan which will be provided according to the forthcoming Federal Register notice. The Participation Plan for the CDBG-MIT planning process will include assisting VIHFA with holding at least 3 public meetings for input on the CDBG-MIT Action Plan in the HUD-identified MID areas to obtain citizen’s views and to respond to proposals and questions per FRN-6109-N-02.</th>
</tr>
</thead>
<tbody>
<tr>
<td>Assist the VIHFA with holding public meetings to engage the public for input on the various Action Plans in addition to documenting the feedback. These meetings shall include but are not limited to convening at least three public hearings in the HUD-identified MID areas to obtain citizens’ views and to respond to proposals and questions per FRN-6109-N-02.</td>
<td>The Implementation section contains full description of the services Tetra Tech commits to providing to assist the VIHFA in the development of subsequent amendments to the approved CDBG-MIT Action Plan, future amendments to the March 1, 2019 Disaster Recovery Action Plan, and the Electrical Grid Action Plan, as requested and needed, for the duration of the contract term.</td>
</tr>
<tr>
<td>Develop and keep records of any assumptions used to develop the Action Plan(s), and any amendments. Amendments: Assist the VIHFA in the development of subsequent approve amendments to the approved CDBG-MIT Action Plan. Assist the VIHFA in the development of future Amendments to the March 1, 2019 Action Plan, as requested and needed.</td>
<td>Tetra Tech will deliver a professionally-prepared, formatted, and easy-to-navigate Disaster Recovery Strategy, including an executive summary that succinctly describes the nature, scope, methodology, primary findings and critical recommendations from the report and a narrative analysis that provides an overview of the current process with details regarding each process task with easy-to-understand descriptions, areas of weaknesses, and best management practices. This narrative will contain a capabilities assessment documenting existing authority, policies, programs, etc., and recommendations for any revisions, technical assistance, as needed to improve the efficacy of these systems. Finally, the report will contain recommendations organized and keyed to relevant processes, including suggested timelines; visual aids such as charts, graphs, diagrams, as needed; and appendices, as needed.</td>
</tr>
<tr>
<td>Disaster Recovery Strategy (Pre- and Post): Assist the VIHFA in developing a pre- and post Disaster Recovery Strategy.</td>
<td>Project Schedule: Include a detailed preliminary design schedule incorporating all anticipated milestone dates, meetings, and document review periods. Project schedules will be provided for each Action Plan, including detailed preliminary design schedule with milestone dates, meetings, and document review periods. These project schedules are kept up-to-date as progress is made and they are discussed in weekly status meetings.</td>
</tr>
<tr>
<td>Subcontractor Plan: Provide a report within fifteen (15) business days after contract execution that identifies the contractor's compliance with MWBE. List proposed subcontractors; describe their experience, and their qualifications as well as specific involvement in this Program.</td>
<td>A subcontractor plan will be provided within 15 business days after contract execution that identifies our compliance with MWBE. This will include a list of our proposed subcontractors, describing their experience, their qualifications, and what involvement they will bring to the program.</td>
</tr>
</tbody>
</table>
Contract No.: 2020-CDBG-DR-001
Contract for Professional Services
VIHFA – TETRA TECH INC.
Development of Action Plan(s) & Amendments
RFP 001-2020-DR-STT/STX
Page 28 of 46

Progress Report: Prepare weekly project status reports to include a narrative description of the progress of work and major tasks completed, budget status, schedule overview, and significant issues with recommendations on any unresolved matters.

Tetra Tech will prepare weekly project status reports to include a narrative description of the progress of work and major tasks completed, budget status, schedule overview, and significant issues with recommendations on any unresolved matters.

Contractor shall also fulfill any additional tasks to assist the VIHFA in successfully completing all of the requirements included in the current or future federal register notices.

Subject to limitations provided in this proposal, Tetra Tech will fulfill any additional tasks to assist the VIHFA in successfully completing all the requirements included in the current or future Federal Register notices.

Contractor shall work with the VIHFA management to determine which metrics shall be measured and monitored for adequate contract performance. The Contractor should also develop processes and systems for routinely measuring and reporting deliverables and evaluation results. These processes should also include goal setting and process improvement to foster a continuous evaluation and improvement of environment. VIHFA and Contractor will develop deliverable timelines for each of the Tier 1 and Tier 2 deliverables designated in the agreement deliverables through Task Orders or any other mechanism mutually agreeable to the parties hereto.

Changes and additions to deliverables will be made upon thirty (30) days prior written notice to Contractor, subject to a mutual agreement of the parties, State may (i) add or delete deliverables and/or (ii) modify existing deliverables, all pursuant to agreed upon procedures.

Penalty: The Contractor shall pay to VIHFA, as a penalty, $250.00 for each calendar day that a Tier 1 deliverable is late until deemed in compliance, subject to a maximum penalty of $1,000.00 per occurrence and $150.00 for each calendar day that a Tier 2 deliverable is late until deemed in compliance, subject to a maximum penalty of $1,000.00 per occurrence. Penalty damages may be assessed at the reasonable discretion of VIHFA. For the purpose of calculating such penalty damages, a grace period of ten (10) days shall be observed and the contracts schedule shall be extended by any additional time or delays outside the control of the Contractor caused by an act of commission, omission or delay of VIHFA. VIHFA may deduct and retain out of the monies, which may become due hereunder the amount of any such liquidated damages, and in case the amount which may become due hereunder shall be less than the amount of the liquidated damages due to VIHFA, the Contractor shall be liable to pay the difference.

Contractor’s Initials: [Signature]

VIHFA Initials: [Signature]
APPENDIX C: HUD GENERAL PROVISIONS

("HUD RIDER")

Contractor shall ensure that all its, and all Subcontractor's, activities, under this Contract shall be conducted in conformance with, but not limited, to the following federal provisions, as applicable: 2 Code of Federal Regulations (CFR) Part 200, 29 CFR Part 95, and all other applicable federal regulations.

1. PROVISIONS REQUIRED BY LAW DEEMED INSERTED

Each and every provision of law and clause required by law to be inserted in this contract shall be deemed to be inserted herein and the contract shall be read and enforced as though it were included herein, and if through mistake or otherwise any such provision is not inserted, or is not correctly inserted, then upon the application of either party the contract shall forthwith be physically amended to make such insertion or correction.

2. STATUTORY AND REGULATORY COMPLIANCE

Contractor/Subcontractor shall comply with all laws and regulations applicable to the Community Development Block Grant-Disaster Recovery funds appropriated by the Disaster Relief Appropriations Act, 2017 (Pub. L. 115-56) and the Bipartisan Budget Act of 2018 ("BBA"), (Pub. L. 115-123), including but not limited to the applicable Office of Management and Budget Circulars, which may impact the administration of funds and/or set forth certain cost principles, including the allowability of certain expenses.

3. BREACH OF CONTRACT TERMS

VIHFA reserves its right to all administrative, contractual, or legal remedies, including but not limited to suspension or termination of this contract, in instances where the Contractor or any of its subcontractors violate or breach any contract term. If the Contractor or any of its subcontractors violate or breach any contract term, they shall be subject to such sanctions and penalties as may be appropriate. The duties and obligations imposed by the contract documents and the rights and remedies available thereunder shall be in addition to and not a limitation of any duties, obligations, rights and remedies otherwise imposed or available by law.

4. REPORTING REQUIREMENTS

The Contractor/Subcontractor shall complete and submit all reports, in such form and according to such schedule, as may be required by VIHFA. The Contractor/Subcontractor shall cooperate with all VIHFA efforts to comply with HUD requirements and regulations pertaining to reporting, including but not limited to 24 C.F.R. §§ 85.40-41 (or 84.50-52, if applicable) and 570.507.

5. ACCESS TO RECORDS

Contractor's Initials: [Signature] VIHFA Initials: [Signature]
The State, the U.S. Department of Housing and Urban Development, the Comptroller General of the United States, or any of their duly authorized representatives, shall have, at any time and from time to time during normal business hours, access to any work product, books, documents, papers, and records of the Subcontractor which are related to this contract, for the purpose of inspection, audits, examinations, and making excerpts, copies and transcriptions.

6. MAINTENANCE/RETENTION OF RECORDS

All records connected with this contract will be maintained in a central location and will be maintained for a period of at least three (3) years following the date of final payment and close-out of all pending matters related to this contract.

7. SMALL AND MINORITY FIRMS, WOMEN’S BUSINESS ENTERPRISES, AND LABOR SURPLUS AREA FIRMS

The Contractor/Subcontractor will take necessary affirmative steps to assure that minority firms, women’s business enterprises, and labor surplus area firms are used in subcontracting when possible. Steps include:

i. Placing qualified small and minority businesses and women’s business enterprises on solicitation lists;

ii. Assuring that small and minority businesses, and women’s business enterprises are solicited whenever they are potential sources;

iii. Dividing total requirements, when economically feasible, into smaller tasks or quantities to permit maximum participation by small and minority business, and women’s business enterprises;

iv. Establishing delivery schedules, where the requirement permits, which encourage participation by small and minority business, and women’s business enterprises; and

v. Using the services and assistance of the Small Business Administration, and the Minority Business Development Agency of the Department of Commerce.

8. RIGHTS TO INVENTIONS MADE UNDER A CONTRACT OR AGREEMENT

Contracts or agreements for the performance of experimental, developmental, or research work shall provide for the rights of the Federal Government and the recipient in any resulting invention in accordance with 37 CFR part 401, “Rights to Inventions Made by Nonprofit Organizations and Small Business Firms Under Government Grants, Contracts and Cooperative Agreements,” and any implementing regulations issued by HUD.

9. ENERGY EFFICIENCY

Contractor’s Initials: [Signature]

VIHFA Initials: [Signature]
The Contractor/Subcontractor shall comply with mandatory standards and policies relating to energy efficiency issued in compliance with the Energy Policy and Conservation Act (Public Law 94-163).

10. **TITLE VI OF THE CIVIL RIGHTS ACT OF 1964**

The Contractor/Subcontractor shall comply with the provisions of Title VI of the Civil Rights Act of 1964. No person shall, on the grounds of race, color, or national origin, be excluded from participation in, be denied the benefits of, or be subjected to discrimination under any program or activity receiving federal financial assistance.

11. **SECTION 109 OF THE HOUSING AND COMMUNITY DEVELOPMENT ACT OF 1974**

The Contractor/Subcontractor shall comply with the provisions of Section 109 of the Housing and Community Development Act of 1974. No person in the United States shall on the grounds of race, color, national origin, or sex be excluded from participation in, be denied the benefits of, or be subjected to discrimination under any program or activity funded in whole or in part with funds made available under this title. Section 109 further provides that discrimination on the basis of age under the Age Discrimination Act of 1975 or with respect to an otherwise qualified handicapped individual as provided in Section 504 of the Rehabilitation Act of 1973, as amended, is prohibited.

12. **SECTION 504 OF THE REHABILITATION ACT OF 1973**


The Contractor/Subcontractor agrees that no qualified individual with handicaps shall, solely on the basis of handicap, be excluded from participation in, be denied the benefits of, or otherwise be subjected to discrimination under any program or activity that receives Federal financial assistance from HUD.

13. **AGE DISCRIMINATION ACT OF 1975**

The Contractor/Subcontractor shall comply with the Age Discrimination Act of 1975 (42 U.S.C. § 6101 et seq.), as amended, and any applicable regulations. No person in the United States shall on the basis of age, be excluded from participation in, be denied the benefits of, or be subjected to discrimination under, any program or activity receiving Federal financial assistance.

14. **DEBARMENT, SUSPENSION, AND INELIGIBILITY**

The Contractor/Subcontractor represents and warrants that it and its subcontractors are not debarred or suspended or otherwise excluded from or ineligible for participation in Federal assistance programs subject to 2 C.F.R. part 2424.

15. **CONFLICTS OF INTEREST**

Contractor’s Initials: [Signature]

VIHFA Initials: [Signature]
The Contractor/Subcontractor shall notify VIHFA as soon as possible if this contract or any aspect related to the anticipated work under this contract raises an actual or potential conflict of interest (as defined at 2 C.F.R. Part 215 and 24 C.F.R. § 85.36 (or 84.42, if applicable)). The Contractor/Subcontractor shall explain the actual or potential conflict in writing in sufficient detail so that the State is able to assess such actual or potential conflict. The Contractor/Subcontractor shall provide VIHFA any additional information necessary for VIHFA to fully assess and address such actual or potential conflict of interest. The Contractor/Subcontractor shall accept any reasonable conflict mitigation strategy employed by VIHFA, including but not limited to the use of an independent subcontractor(s) to perform the portion of work that gives rise to the actual or potential conflict.

16. **SUBCONTRACTING**

When subcontracting, the Contractor/Subcontractor shall solicit for and contract with such Contractor/subcontractors in a manner providing for fair competition. Some of the situations considered to be restrictive of competition include but are not limited to:

(i) Placing unreasonable requirements on firms in order for them to qualify to do business,

(ii) Requiring unnecessary experience and excessive bonding,

(iii) Noncompetitive pricing practices between firms or between affiliated companies,

(iv) Noncompetitive awards to consultants that are on retainer contracts,

(v) Organizational conflicts of interest,

(vi) Specifying only a brand name product instead of allowing an equal product to be offered and describing the performance of other relevant requirements of the procurement, and

(vii) Any arbitrary action in the procurement process.

The Contractor/Subcontractor represents to VIHFA that all work shall be performed by personnel experienced in the appropriate and applicable profession and areas of expertise, taking into account the nature of the work to be performed under this contract.

The Contractor will include these HUD General Provisions in every subcontract issued by it so that such provisions will be binding upon each of its subcontractors as well as the requirement to flow down such terms to all lower-tiered subcontractors.

17. **ASSIGNABILITY**

The Contractor/Subcontractor shall not assign any interest in this contract and shall not transfer any interest in the same (whether by assignment or novation) without prior written approval of VIHFA.

Contractor's Initials:  

VIHFA Initials:  
18. INDEMNIFICATION

The Contractor/Subcontractor shall indemnify, defend, and hold harmless VIHFA and its agents and employees from and against any and all claims, actions, suits, charges, and judgments arising from or related to the negligence or willful misconduct of the Contractor/Subcontractor in the performance of the services called for in this contract.

19. COPELAND “ANTI-KICKBACK” ACT (Applicable to all construction or repair contracts)

Salaries of personnel performing work under this contract shall be paid unconditionally and not less often than once a month without payroll deduction or rebate on any account except only such payroll deductions as are mandatory by law or permitted by the applicable regulations issued by the Secretary of Labor pursuant to the Copeland “Anti-Kickback Act” of June 13, 1934 (48 Stat. 948; 62 Stat. 740; 63 Stat. 108; Title 18 U.S.C. § 874; and Title 40 U.S.C. § 276c). The Contractor shall comply with all applicable “Anti-Kickback” regulations and shall insert appropriate provisions in all subcontracts covering work under this contract to ensure compliance by subcontractors with such regulations and shall be responsible for the submission of affidavits required of subcontractors thereunder except as the Secretary of Labor may specifically provide for variations or exemptions from the requirements thereof.

20. CONTRACT WORK HOURS AND SAFETY STANDARDS ACT

(Applicable to construction contracts exceeding $2,000 and contracts exceeding $2,500 that involve the employment of mechanics or laborers)

The Contractor/Subcontractor shall comply with Sections 103 and 107 of the Contract Work Hours and Safety Standards Act (40 U.S.C. §§ 327-330) as supplemented by Department of Labor regulations (29 C.F.R. part 5).

All laborers and mechanics employed by contractors or subcontractors shall receive overtime compensation in accordance with and subject to the provisions of the Contract Work Hours and Safety Standards Act, and the contractors and subcontractors shall comply with all regulations issued pursuant to that act and with other applicable Federal laws and regulations pertaining to labor standards.

21. DAVIS-BACON ACT

(Applicable to construction contracts exceeding $2,000 when required by Federal program legislation)

The Contractor/Subcontractor shall comply with the Davis Bacon Act (40 U.S.C. §§ 276a to 276a-7) as supplemented by Department of Labor regulations (29 C.F.R. part 5).

All laborers and mechanics employed by contractors or subcontractors, including employees of other governments, on construction work assisted under this contract, and subject to the

Contractor’s Initials: ____________________________

VIHFA Initials: ____________________________
provisions of the federal acts and regulations listed in this paragraph, shall be paid wages at rates not less than those prevailing on similar construction in the locality as determined by the Secretary of Labor in accordance with the Davis-Bacon Act.

22. **TERMINATION FOR CAUSE** (Applicable to contracts exceeding $10,000)

If, through any cause, the Contractor/Subcontractor shall fail to fulfill in a timely and proper manner his obligations under this contract, or if the Contractor/Subcontractor shall violate any of the covenants, agreements, or stipulations of this contract, VIHFA shall thereupon have the right to terminate this contract by giving written notice to the Contractor/Subcontractor of such termination and specifying the effective date thereof, at least five (5) days before the effective date of such termination. In such event, all finished or unfinished documents, data, studies, surveys, drawings, maps, models, photographs, and reports prepared by the Contractor/Subcontractor under this contract shall, at the option of VIHFA, become VIHFA’s property and the Contractor/Subcontractor shall be entitled to receive just and equitable compensation for any work satisfactorily completed hereunder. Notwithstanding the above, the Contractor/Subcontractor shall not be relieved of liability to VIHFA for damages sustained by VIHFA by virtue of any breach of the contract by the Contractor/Subcontractor, and the VIHFA may withhold any payments to the Contractor/Subcontractor for the purpose of set-off until such time as the exact amount of damages due to the VIHFA from the Subcontractor is determined.

23. **TERMINATION FOR CONVENIENCE** (Applicable to contracts exceeding $10,000)

The VIHFA may terminate this contract at any time by giving at least sixty (60) days’ notice in writing to the Contractor/Subcontractor. If the contract is terminated by VIHFA as provided herein, the Contractor/Subcontractor will be paid for the time provided and expenses incurred up to the termination date.

24. **SECTION 503 OF THE REHABILITATION ACT OF 1973** (Applicable to contracts exceeding $10,000)


Equal Opportunity for Workers With Disabilities

**A.** The Contractor/Subcontractor will not discriminate against any employee or applicant for employment because of physical or mental disability in regard to any position for which the employee or applicant for employment is qualified. The Contractor/Subcontractor agrees to take affirmative action to employ, advance in employment and otherwise treat qualified individuals with disabilities without discrimination based on their physical or mental disability in all employment practices, including the following:

i. Recruitment, advertising, and job application procedures;

ii. Hiring, upgrading, promotion, award of tenure, demotion, transfer, layoff, termination, right of return from layoff and rehiring;

Contractor’s Initials: [Signature]  
VIHFA Initials: [Signature]